



**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
www.pbcchicago.com

**PROFESSIONAL SERVICES  
AGREEMENT**

**AUDIT SERVICES (PS3101)**

**PUBLIC BUILDING COMMISSION OF CHICAGO**

**AND**

**CLIFTONLARSONALLEN LLP**

**FOR**

**AUDIT SERVICES  
PS3101**

**Public Building Commission of Chicago**

Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
www.pbcchicago.com

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<b>ADDRESS:</b>	1 North Franklin Street, Suite 1100 Chicago, Illinois 60606

**Mayor Brandon Johnson  
Chairman**

Ray Giderof  
Executive Director

**TABLE OF CONTENTS**

<b><u>Title</u></b>	<b><u>Page</u></b>
Execution Page.....	3
Terms and Conditions.....	5
Schedule A – Audit Services Fee Proposal .....	14
Schedule B – Key Personnel .....	16
Exhibit A – Legal Actions .....	35
Exhibit B – Disclosure Affidavit .....	37
Exhibit C – Disclosure of Retained Parties .....	55
Exhibit D – Special Conditions Regarding the Utilization of MBE and WBE Firms .....	58
Exhibit E – Insurance Requirements.....	73

**EXECUTION PAGE**

**Audit Services – PS3101**

**THIS AGREEMENT (“Agreement”)** effective as of September 9, 2025, but actually executed on the date witnessed, is entered into by and between the **Public Building Commission of Chicago**, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the **"Commission"**), and CliftonLarsonAllen LLP with offices at 1 North Franklin Street, Suite 1100, Chicago, Illinois 60606, (the **"Consultant"**).

**Recitals:**

**WHEREAS**, the Commission is a municipal corporation organized and operating under the Constitution and laws of the State of Illinois and on behalf of various governmental agencies including, but not limited to, the City of Chicago, the Chicago Public Library, the Chicago Park District, the City Colleges of Chicago, the Chicago Board of Education, and Forest Preserve District of Cook County, and requires the services of an auditor to provide audit services (the “Services”); and

**WHEREAS**, the Commission requires certain professional services as described in the Agreement and desires to retain the Consultant on the terms and conditions set forth in the Agreement to perform such Services; and

**WHEREAS**, the Consultant desires to be retained by the Commission and has represented to the Commission that the Consultant has the knowledge, skill, experience and other resources necessary to perform the Services; and

**WHEREAS**, the Consultant further represents that it is qualified and competent by education, training, and experience to review and analyze the Commission’s financial records and documents and review all other information necessary to complete the Services described in this Agreement in accordance with all professional auditing and accounting standards with due skill and diligence; and

**WHEREAS**, the Commission has relied upon the Consultant’s representations in selecting the Consultant; and

**WHEREAS**, in reliance upon the Consultant’s representations, Technical Competence, Key Personnel, and other information identified in the Request for Proposals response, the Commission has selected the Consultant to perform Services on the terms and conditions set forth in this Agreement and/or as modified from time to time.

**NOW, THEREFORE**, the parties have executed this Agreement on the terms and conditions that follow:

**EXECUTION PAGE**

**Audit Services – PS3101**

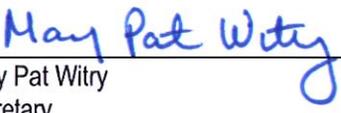
This Agreement is executed by the Commission and the Consultant stated below and made effective by such execution pursuant to its terms.

**PUBLIC BUILDING COMMISSION OF CHICAGO**

  
\_\_\_\_\_  
Mayor Brandon Johnson  
Chairman

Date: 1/12/26

ATTEST:

  
\_\_\_\_\_  
Mary Pat Witry  
Secretary

Date: 1/05/2026

Approved as to form and legality:

  
\_\_\_\_\_  
Neal & Leroy, LLC

Date: 1/5/2025

**CONSULTANT: CliftonLarsonAllen LLP**

Signed by:  
  
\_\_\_\_\_  
922C89C0DE80454  
President of Approved Signatory

Date: 1/2/2026

AFFIX CORPORATE  
SEAL, IF ANY, HERE

County of: Polk

State of: FL

Subscribed and sworn to before me by Adam Pulley  
on behalf of Consultant this 2nd day of January, 2026.

\_\_\_\_\_  
Notary Public  
My Commission expires: 10/31/2026  
(SEAL OF NOTARY)

KAYLA J. C. MARTIN  
NOTARY PUBLIC  
STATE OF FLORIDA  
Commission #HH327672  
My Commission Expires 10/31/2026  
ONLINE NOTARY

## TERMS AND CONDITIONS

1. **Recitals.** The Recitals set forth on the Execution Page of this Agreement are hereby incorporated herein by reference.
2. **Definitions.** The herein words and phrases have the following meanings for purposes of this Agreement.
  - a. **Agreement** means this Professional Services Agreement for Audit Services described herein, including all schedules, addenda, exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, supplements and/or other documents made in accordance with the terms hereof.
  - b. **Authorized Commission Representative(s)** means one or more persons designated in writing by the Executive Director for purposes of assisting the Commission in managing and implementing the Project. As directed by the Commission, the Authorized Commission Representative will act on behalf of the Commission.
  - c. **Commission** means the Public Building Commission of Chicago, a municipal corporation organized under the Public Building Commission Act of the State of Illinois, as amended, or its duly authorized officers or employees.
  - d. **Consultant** means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized to perform the Services required by the terms and conditions of this Agreement.
  - e. **Deliverables** means the documents, in any format (electronic or hard copy) requested by the Commission, including without limitation audit forms, analytical statements, financial certifications, recommendations, and analyses that the Consultant is required to provide under the Services outlined in this Agreement. Notwithstanding the foregoing, the parties agree that the Consultant's workpapers are not Deliverables.
  - f. **Equipment** means the articles or physical resources, tangible or intangible, including but not limited to, hardware, firmware or software enabling the Commission to implement the Projects and Consultant to perform the Services under this Agreement.
  - g. **Executive Director** means the person employed by the Commission as its Executive Director or the duly authorized representative thereof.
  - h. **Include** means that whenever the term "include" (in any of its forms) is used, it means "include, without limitation".
  - i. **Key Personnel** means those job titles and persons as identified in such positions in this Agreement and accepted by the Commission.
  - j. **Parties** means the Commission and Consultant and their respective successors and assigns.
  - k. **Services** means collectively, the duties, responsibilities and tasks that are necessary in order for the Consultant to provide the requested Audit Services required by the Commission, and other resources as required by the Commission, for the compliance of any/all regulations, requirements, and necessary reports, summaries, and/or documentation as described in Section III of the Request for Proposals, response, and the assigned Task Order.
  - l. **Sub-consultant or Subcontractor** means a partnership, firm, corporation or entity other than the Consultant hired by the Consultant to perform professional services and any other service related to the performance of the Services under this Agreement. The Commission and the Audit Committee hereby consent to Consultant subcontracting a portion of its Services under this engagement to any affiliate or related entity, whether located within or outside of the United States. Professional services performed hereunder by any of Consultant's affiliates or related entities shall be invoiced as professional fees, and any related expenses shall be invoiced as expenses, unless otherwise agreed.
  - m. **Task Order** means a document issued by the Commission to the Consultant pursuant to this Agreement that authorizes, in writing, Services and/or Deliverables to be provided by the Consultant, together with any applicable exhibits, matrixes, or schedules, a timetable for Deliverables, and the fees attributable to the Services and Deliverables described in the Task Order.
3. **Usage and Conventions.** Captions and Headings. The captions and headings of the various sections of the

Agreement are used solely for reference purposes and do not construe, nor will they be deemed or used to construe, interpret, limit, or extend the meaning or scope of any work, clause, paragraph, or provision of the Agreement.

- a. The term "include," in all its forms, means "include, without limitation" unless stated otherwise.
  - b. The words "hereof", "herein" and "hereunder" and words of like import used in this Agreement shall refer to this entire Agreement and not to any particular provision hereof.
  - c. The headings and captions herein are included for convenience of reference only and shall be ignored in the construction or interpretation hereof.
  - d. The word "extent" in the phrase "to the extent" shall mean the degree to which a subject or other theory extends, and such phrase shall not mean "if".
  - e. When calculating the period of time before which, within which or following which any act is to be done or step taken pursuant to this Agreement, the date that is the reference date in calculating such period shall be excluded. If the last day of such period is a non-Business Day, the period in question shall end on the next succeeding Business Day.
  - f. The words "any", "either" or "or" are not exclusive, unless the context otherwise requires.
  - g. References to any statute shall be deemed to refer to such statute as amended from time to time and to any rules or regulations promulgated thereunder.
  - h. References to any agreement or contract are to that agreement or contract as amended, modified, or supplemented from time to time in accordance with the terms thereof.
  - i. References to any person include the successors and permitted assigns of that person.
  - j. References from or through any date mean, unless otherwise specified, from and including or through and including, respectively.
  - k. References to "\$" are to United States Dollars.
  - l. Terms of one gender imply the other gender(s) unless the context clearly indicates otherwise. Use of the singular includes the plural and vice versa.
4. **Incorporation of Documents.** The Resolution passed by the Board of Commissioners of the Commission on October 1, 2009, concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be amended from time to time, is hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of such Resolution and will comply fully with all applicable portions thereof in performing the Services.
- a. **Compliance with Policies Concerning MBE and WBE.** Without limiting the generality of the requirements of the policies of the Commission referred to in Section 4 and elsewhere, including Exhibit D, the Consultant will use every reasonable effort to utilize minority business enterprises and women business enterprises for a minimum aggregate of 30% MBE and/or WBE participation of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, and the Amended Resolution passed on June 12, 2012, concerning participation of minority business enterprises and women business enterprises on contracts, other than construction contracts, awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.
  - b. Any and all Procurement Documentation (including by not limited to: Addenda, Exhibits, Forms, Schedules, etc.) are expressly incorporated in this Agreement.
5. **Engagement and Standards for Performing Services.**
- a. **Engagement.** The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
  - b. **Performance Standard.** The Consultant represents and agrees that the Services performed under this

Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by organizations providing Audit Services of a scope, purpose, and magnitude comparable with the Services to be performed under this Agreement. If in the course of performing the Services, Consultant identifies any condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission.

- c. **Consultant's Personnel.** The Consultant will use personnel suitably qualified and experienced to perform the Services in accordance with the requirements of this Agreement. To the extent required by law, each person assigned to perform any part of the Services shall be suitably licensed or certified to perform such obligations. Consultant must maintain current copies of any such licenses and provide such copies, upon request, to the Commission. The personnel identified in Schedule B ("Key Personnel") will be primarily responsible for carrying out the Services on behalf of the Consultant. The Key Personnel cannot be changed without the Commission's prior written approval, which will not be unreasonably withheld. The Consultant must not reassign or replace Key Personnel without the written consent of the Commission. The Commission may at any time, in writing, notify Consultant that the Commission will no longer accept performance of Services under this Agreement by one or more Key Personnel listed in Schedule B of this Agreement. Upon the Consultant's receipt of such notice, the Consultant must immediately suspend the Key Person or Key Personnel from performing services under this Agreement and must replace him or her with a person possessing comparable professional credentials and experience. Such replacements are subject to prior approval by the Commission. Consultant remains responsible for the professional and technical accuracy of all Services and Deliverables furnished, whether by the Consultant or others on its behalf. Consultant must at all times use its best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of the Services. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission. All Deliverables must be prepared in a format satisfactory to the Commission and delivered in a timely manner consistent with the requirements of this Agreement and the assigned Task Order.
- d. **Independent Contractor.** In performing the Services under this Agreement, Consultant shall at all times be an independent contractor and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- e. **Limitations on Sub-Consultants and Subcontractors.** Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- f. **Failure to Meet Performance Standard.** If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and Deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
- g. **Changes to the Services.** The Commission may from time to time, request changes to the terms of the Agreement, Task Order or the Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services or timetable for Deliverables, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement or the Task Order. The Commission shall not be liable for any changes absent such written amendment.

- h. **Firearms.** The PBC is committed to providing a safe and secure workplace for the benefit of its employees, consultants, contractors and the general public; therefore, threatening behavior by any person on or about the PBC office premises. Further, possession of firearms, explosives, or other weapons anywhere on PBC property and any place in which PBC business is conducted is prohibited. Employees and contractors must, at a minimum, comply with all federal, state and local laws relating to the possession and use of firearms, including the Illinois Firearm Concealed Carry Act, 430 ILCS 66/1, et. seq.; the Illinois Criminal Code – Article 5, Deadly Weapons, 720 ILCS 5/Art. 24 et. seq.; and the City of Chicago Firearms and Other Weapons Ordinance, Chicago Municipal Code, Sec. 8-24-005, et. seq. Further, as a condition of employment and/or contract, individuals may not bring weapons onto PBC premises (including parking lots), even in situations where such conduct would be allowed under the cited laws.
- i. **Minimum Wage.** Consultant must comply with the City of Chicago Minimum Wage Ordinance (02014-9680; Chapter 01-24, et.seq., of the Municipal Code of the City of Chicago), as may be amended, subject to applicable local minimum wage laws. A copy of the Ordinance may be downloaded from the Chicago City Clerk's website at [https://www.chicago.gov/content/dam/city/depts/mayor/supp\\_info/Minimum%20Wage/O2014-9680.pdf](https://www.chicago.gov/content/dam/city/depts/mayor/supp_info/Minimum%20Wage/O2014-9680.pdf). If the payment of prevailing wage is required and the prevailing wage is higher than the Minimum Wage, then Consultant must pay the prevailing wage.

## 6. **Representations and Warranties.**

- a. Consultant represents, warrants and covenants that (i) it will comply with all laws and regulations applicable to it in its performance of the Services; (ii) it possesses all right, power and authority to enter into this Agreement; (iii) all Deliverables shall be original works of Consultant or that Consultant shall have all rights necessary to provide such Deliverables; and (iv) with respect to the Deliverables provided to the Commission, Consultant warrants that such Deliverables shall not infringe any patent, copyright, trademark, trade secret or other proprietary right of a third party upon delivery to the Commission, provided that the Commission's sole and exclusive remedy, and Consultant's sole and exclusive obligation, for any breach of this warranty shall be the remedy set forth in Consultant's indemnity obligations under Section 5(b) below.
- b. Consultant agrees to indemnify, defend and hold harmless the Commission and its personnel from all claims attributable to claims of third parties for infringement by a Deliverable of any U.S. patent existing at the time of delivery or copyright or any unauthorized use of any trade secret, except to the extent that such infringement or unauthorized use arises from (i) modification of the Deliverables other than by Consultant or its subcontractors, or use thereof in a manner not contemplated by this Agreement or the applicable Engagement Letter, (ii) the failure of the indemnified party to use any corrections or modifications made available by Consultant, (iii) information, materials, instructions, specifications, requirements or designs provided by or on behalf of the indemnified party, or (iv) the use of the Deliverable in combination with any platform, product, network or data not provided by Consultant. If the Commission's use of any such Deliverable, or any portion thereof, is or is likely to be enjoined by order of a court of competent jurisdiction as such an infringement or unauthorized use, Consultant, at its option and expense, shall have the right to (x) procure for the Commission the continued use of such Deliverable, (y) replace such Deliverable with a noninfringing deliverable, or (z) modify such Deliverable so it becomes non-infringing; provided that, if (y) or (z) is the option chosen by Consultant, the replacement or modified Deliverable is capable of performing substantially the same function. In the event Consultant cannot reasonably procure, replace or modify such Deliverable in accordance with the immediately preceding sentence, Consultant may require the Commission to cease use of such Deliverable and refund the professional fees paid to Consultant with respect to the Services giving rise to such Deliverable. The foregoing provisions of this sub-Section constitute the sole and exclusive remedy of the indemnified parties, and the sole and exclusive obligation of Consultant, relating to a claim that any of Consultant's Deliverables infringes any patent, copyright or other intellectual property right of a third party.

## 7. Duties and Obligations of Consultant.

- a. **Nondiscrimination.** The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. Seq., the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended, and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2009, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission or the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.
- b. **Employment Procedures, Preferences and Compliances.** Salaries of employees of the Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to {Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act).} The Consultant shall comply with all applicable “Anti- Kickback” laws and regulations, including the “Anti-Kickback” Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. **Ethics.** The Consultant has read and agrees to comply with all provisions of the Code of Ethics Resolution passed by the Commission on October 3, 2011, which is available on the Commission’s website at [https://www.pbcchicago.com/wp-content/uploads/2017/05/RES\\_PBC\\_eCr\\_CodeofEthicsConsolApril-2013\\_20130405.pdf](https://www.pbcchicago.com/wp-content/uploads/2017/05/RES_PBC_eCr_CodeofEthicsConsolApril-2013_20130405.pdf) and is incorporated into this Agreement by reference. Any contract negotiated, entered into, or performed in violation of any of the provisions of this Section will be voidable by the Commission.
- d. **Inspector General.** The Consultant and its subconsultants, including all officers, directors, agents, partners and employees of such entities shall cooperate with the Inspector General of the Public Building Commission in any investigation or hearing undertaken pursuant to Public Building Commission Resolution 7576 adopted by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2010. On projects funded by the City of Chicago, the Consultant and its subconsultants, including all officers, directors, agents, partners and employees of any such entities, shall cooperate with the Inspector General of the City in any investigation or hearing undertaken pursuant to Chapter 2-56 of the Chicago Municipal Code. Each Consultant understands and will abide by all provisions of Chapter 2-56 of the Municipal Code of Chicago. All Consultants will inform their respective subconsultants of this provision and require compliance herewith. Consultant shall cooperate and comply with the Inspector General of the User Agency in any investigation or hearing undertaken pursuant to the enabling ordinance or resolution pertaining to the authority of such Inspector General that has been promulgated by such User Agency.
- e. **Delays.** The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.

- f. **Records**. The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
  - g. Intentionally Omitted.
  - h. **Time of Essence**. The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and the assigned Task Order and performance of all other obligations of the Consultant under this Agreement and any other agreement entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
  - i. **Compliance with Laws**. In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, rules and regulations including but not limited to, those referenced in subparagraphs (a) and (b) above.
  - j. **Progress Meetings**. Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.
8. **Term**. The initial term of this Agreement shall be three (3) years with an option for three (3) additional one (1) year terms which may be exercised at the sole discretion of the Commission; but in any case, the duration of the Agreement shall continue through final acceptance of any/all Project Deliverables. This Agreement may be terminated by the Commission, with or without cause, upon thirty (30) days' notice to the Consultant and, provided further, that this Agreement may be terminated at any time during the term by mutual agreement of the parties.
- a. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.
  - b. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.

9. **Compensation of Consultant; Submission of Invoices.** The total amount of compensation to be paid by the Commission during the entire term of this Agreement (including any exercised option years) shall be based on each duly approved invoice, but in any case, shall not exceed the sum of Nine Hundred Fifteen Thousand Dollars (\$915,000.00). The Commission shall compensate the Consultant for the Services in the manner set forth in Schedule A of this Agreement or as modified by written authorization. The Consultant shall submit all invoices, no more frequently than once every thirty (30) days, as directed by the Commission. All submitted invoices shall include a cover page as provided by the Commission. Failure to submit invoices as directed will result in delayed or non-payment to the Consultant.
10. **Rights and Obligations of Commission.** In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. **Information.** The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
  - b. **Review of Documents.** Subject to the provisions of the Agreement, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
  - c. **Legal, Auditing and other Services.** The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Consultant to perform the Services. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
  - d. **Designated Representatives.** The Commission may designate, at its sole discretion, one or more representatives authorized to act on its behalf.
  - e. **Ownership of Documents.** All documents, data, studies and reports prepared by the Consultant, or any party engaged by the Consultant, pertaining to the Services shall be the property of the Commission, including copyrights. The workpapers and files supporting the services Consultant performs are the sole and exclusive property of Consultant and constitute confidential and proprietary information.
11. **Indemnification of Commission and Third-Party Vendors.** The Consultant hereby agrees to indemnify, defend, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees and any third-party hosting site (or disaster recovery site) from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law. Consultant's indemnification obligations hereunder shall not extend to claims arising from the sole negligence or willful misconduct of indemnified parties
12. **Insurance to be Maintained by Consultant.** The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, and the Consultant, insurance coverage as set forth in Exhibit E – Insurance Requirements.
13. **Default.**
- a. **Events of Default.** Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
    - i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been given to the Consultant by the Commission;
    - ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
    - iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;

- iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
  - v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
  - vi. Failure of the Consultant to comply at all times with the requirements of relevant Federal, State, and Municipal Codes, Rules, Regulations, including but not limited to Chicago Municipal Code Section 4-6-250 and Chicago Municipal Code Section 4-6-260.
- b. **Remedies.** If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
  - c. **Remedies not Exclusive.** No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.
14. **Confidentiality.** All Deliverables provided by the Consultant under this Agreement are confidential, and the Consultant agrees that such Deliverables shall not be made available to any third party without the prior written approval of the Commission, except as permitted below. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the financial statements or related documents, nor the Services provided, except as permitted below. Notwithstanding the foregoing, the Commission grants Consultant the right to use its name as part of a general client list and as a specific citation within proposals and other directed marketing efforts. To the extent that, in connection with this engagement, Consultant comes into possession of any confidential information or records of the Commission, Consultant shall not disclose such information to any third party without the Commission's consent, using at least the same degree of care as it employs in maintaining in confidence its own confidential information of a similar nature, but in no event less than a reasonable degree of care. The Commission and the Audit Committee hereby consent to Consultant disclosing information (a) as may be required by law or regulation, or to respond to governmental inquiries, or in accordance with applicable professional standards and rules, or in connection with litigation or arbitration pertaining hereto; (b) to the extent such information (i) is or becomes publicly available to Consultant on a nonconfidential basis from a source that Consultant believes is not prohibited from disclosing such information to Consultant, (ii) is already known by Consultant without any obligation of confidentiality with respect thereto, or (iii) is developed by Consultant independently of any disclosures made to Consultant hereunder; or (c) to contractors providing administrative, infrastructure, and other support services in connection with this engagement and Subcontractors providing services in connection with this engagement, in each case, whether located within or outside the United States, provided that such contractors and

subcontractors have agreed to be bound by confidentiality obligations similar to those in this paragraph. Consultant acknowledges that it may have access to valuable and confidential information and records of the Commission. Consultant promises to cooperate with the officials, employees and agents of the Commission in furthering the Commission's interests to the extent such cooperation does not conflict with law, regulation, or professional rules or standards.

15. **Assignment.** The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned, sold, gifted, or bargained for by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
16. Intentionally omitted.
17. **Relationship of Parties.** The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an agreement of partnership, joint venture, or agency.
18. **Miscellaneous.**
  - a. **Counterparts.** This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
  - b. **Entire Agreement.** This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by a duly authorized Task Order or other instrument in writing signed by both of the parties hereto.
  - c. **Force Majeure.** Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. Examples of such causes may include, but are not limited to, fires, floods, earthquakes, epidemics, pandemics, quarantine restrictions, labor strikes, etc. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
  - d. **Governing Law.** This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
  - e. **No Waiver.** The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
  - f. **Notices.** All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
  - g. **Severability.** In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
  - h. **Successors and Assigns.** Except as otherwise provided herein, this Agreement shall be binding upon and

inure to the benefit of each of the parties hereto and their respective successors and assigns.

- i. **Consultant's Authority.** Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.
- j. **Non-liability of Public Officials.** No Board member, employee, agent, officer or official of the Commission or the User Agency is personally liable to the Consultant of its Subcontractors or Subconsultants, and the Consultant and its Subcontractors and Subconsultants are not entitled to charge any of them with liability or expenses or hold them personally liable to Consultant and its Subcontractors and Subconsultants under this Agreement.
- k. **Survival.** The agreements and undertakings of the Commission and the Audit Committee described in the scope of services will survive the completion or termination of this engagement.

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**SCHEDULE A  
AUDIT SERVICES**

**AUDIT SERVICES FEE PROPOSAL**

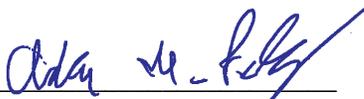
**(ATTACHED HERETO AND INCORPORATED HEREIN)**

**REVISED SCHEDULE A**  
**AUDIT SERVICES FEE PROPOSAL**  
**PS3101**

<i><u>Proposers must complete this form.</u></i>		
Fee for 2026 <small>(Audit Year 2025)</small>	Fee for 2027 <small>(Audit Year 2026)</small>	Fee for 2028 <small>(Audit Year 2027)</small>
\$ <u>140,000</u>	\$ <u>145,000</u>	\$ <u>150,000</u>

Fee for 2029 <small>(Audit Year 2028)</small>	Fee for 2030 <small>(Audit Year 2029)</small>	Fee for 2031 <small>(Audit Year 2030)</small>
\$ <u>155,000</u>	\$ <u>160,000</u>	\$ <u>165,000</u>

Proposer's Firm Name: CliftonLarsonAllen LLP (CLA)  
 Contact Name: Adam Pulley, CPA, Principal  
 Contact Email: adam.pulley@CLAconnect.com  
 Contact Telephone: 309-495-8767

Authorized Firm Signatory: 

Date: 8/29/25

**SCHEDULE B – KEY PERSONNEL  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## Tab 2. Experience and Qualification of CLA and Key Personnel



### a. Technical competence

#### i. Understanding of the work to be performed

CLA understands the work to be performed as follows:

- To examine PBC’s financial statements in accordance with:
  - Auditing standards generally accepted in the United States of America as included in Statements on Auditing Standards, published by the American Institute of Certified Public Accountants.
  - Standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States.
  - Provisions of relevant General Accounting Standards Board Statements (GASB).
- To prepare and issue the following reports:
  - Independent Auditor's Report
  - Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters in Accordance with *Government Auditing Standards*
  - All other reports required of the independent auditor examining the financial statements of the PBC in accordance with the standards listed above
- To provide advisory guidance to PBC staff during their course of audit work for:
  - Accounting and other technical matters as needed to keep the PBC in compliance with any changes in governmental accounting and financial reporting standards; and
  - In the preparation of the PBC's ACFR so that it exhibits complete transparency and full disclosure in financial reporting and to be eligible to receive the GFOA's Certificate of Achievement for Excellence in Financial Reporting.
- Additionally, to:
  - Participate in the exit conference with PBC Management and the Audit Committee.
  - Provide a preliminary Management Letter indicating findings and recommendations to the PBC's staff in advance of the finalization of the audit, to be included with the ACFR submission.
  - Conduct initial, progress, status, and exit meetings with the PBC staff during each Fiscal Year’s Audit.
  - Provide regular updates/reports to PBC staff during the audit period as necessary/requested by PBC.

#### *Summary of qualifications for the person responsible for signing the audit opinion*

With more than 18 years of experience, Adam specializes in audits of state and local governmental entities, and leads the Illinois and Missouri governmental practice for CLA. Adam has presented at IGFOA events and CLA Governmental Training Academies, as well as written articles on internal controls and fraud. His signing experience includes audits for Illinois State Toll Highway Authority, Illinois Department of Transportation, Illinois Gaming Board, Illinois Department of Children and Family Services, City of Peoria, St. Louis County, and Peoria Public Schools (who additional has an ongoing agreement with Peoria Public Building Commission). Further information is noted has been provided in **Tab 2.b.vi. Key personnel and resumes.**

## b. Organizational overview

### i. Business location and contact information

CLA is proud to employ nearly 9,000 professionals across 130 locations in the USA.

Our firm matches the necessary skill set to an engagement before considering the geographic location of the staff. Assigning team members who specialize in working with similar clients can provide PBC higher-quality services and allows us to complete the engagement in a more efficient and effective manner with little interruption to your staff.

PBC will be served by an industry-specialized engagement team located in our downtown Chicago and Oak Brook offices with support from our Central Illinois offices as well.

<b>CLA Chicago</b> 1 North Franklin Street Suite 1100 Chicago, IL 60606	<b>CLA Peoria</b> 301 SW Adams Street Suite 1000 Peoria, IL 61602	<b>CLA Champaign</b> 301 North Neil Street Suite 205 Champaign, IL 61820	<b>CLA Oak Brook</b> 2021 Spring Road Suite 200 Oak Brook, IL 60523
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### ii. Ownership and structure information

#### *Firm ownership and subsidiaries*

CLA (CliftonLarsonAllen LLP) is a limited liability partnership and is duly licensed to practice public accountancy in the state of Illinois and other states.

The following are wholly-owned subsidiaries of CLA:

- CLA International, Inc.
- CliftonLarsonAllen Wealth Advisors, LLC
- CLA Trademarks Holding Company LLC
- CliftonLarsonAllen UK Limited

#### *Listing of firm owners*

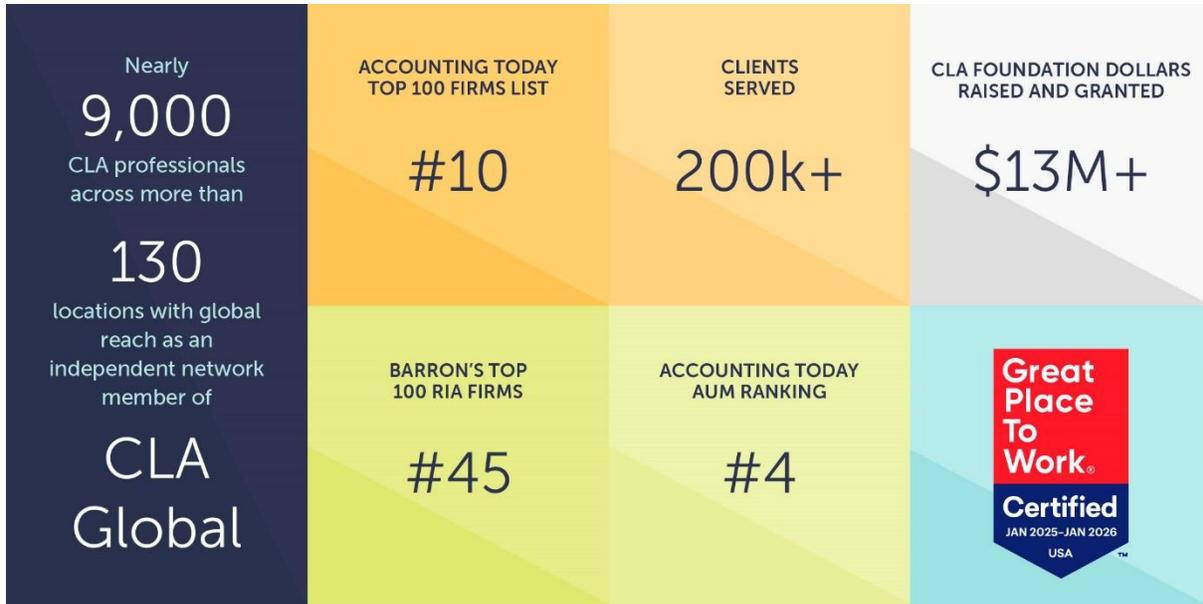
CLA is wholly owned by its 375+ equity owners, none of whom own more than 2% interest in the firm. Please see **Exhibits** for more information, as provided on **Exhibit B – Disclosure Affidavit**.

### iii. Length of time in business

We're celebrating 13 years as CLA, but the roots of our culture reach back more than 60 years. Across decades, the philosophies that drove our legacy firms — from how they served clients and treated their people to how they did business — shared many attributes. We still find them present today in what we call the CLA Promise.

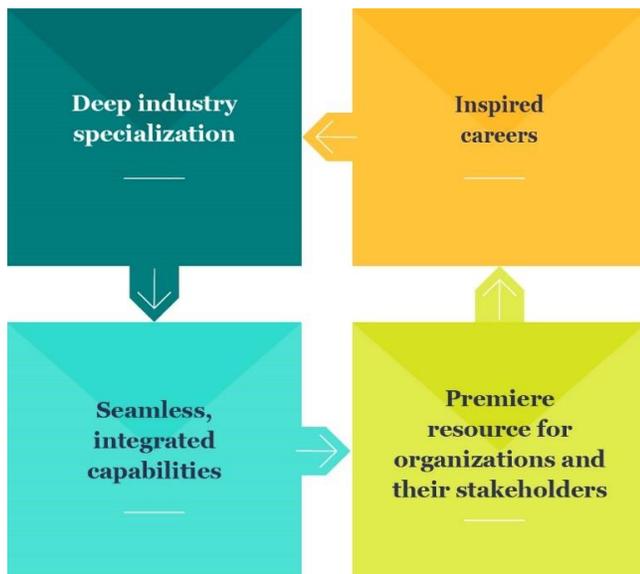
iv. Firm size and overview

It takes balance.™ With CLA by your side, you will find everything you need in one firm. Professionally or personally, big or small, we can help you discover opportunities and bring balance to get you where you want to go.



As a professional services firm, we exist to create opportunities ... for you, our people, and our communities through industry-focused wealth advisory, digital, audit, tax, consulting, and outsourcing services. We do this when we live the CLA Promise — a promise to know you and help you.

*Opportunities for you*



You'll find resources you would expect in the largest firms, with the personal touch of people who live and work in your community.

You'll access leaders and professionals in communities across the country, rather than from one central headquarters. We work together to look at your organization holistically, and then help you address challenges by offering support where you need it, from traditional audit and tax to outsourcing and wealth advisory.

As you navigate opportunities and challenges in a competitive and constantly changing environment, we'll embrace change, learn from it, and design processes to make interactions easier, more transparent, and seamless.

### *Opportunities for our people*

At CLA, people find meaningful work in a fun, compelling, and energizing culture. Our people design their own customized careers through our inspired careers strategic advantage. In 2024 our total headcount was relatively the same as in 2023, and we continue to witness a remarkable retention rate of 89%. Inspired by their careers, our family members develop client relationships that bring deeper knowledge and help you shine. We're one family, working together to create opportunities.

What's more, CLA is building a culture of [connection and belonging](#) that welcomes different beliefs and perspectives. We want to represent the communities we serve and foster an environment of inclusion and belonging, resulting in enhanced value for our clients, our communities, and each other.

***Inclusive:*** *We embrace all voices and create opportunities by removing barriers and helping our people build inspired careers.*

### *Opportunities for our community*

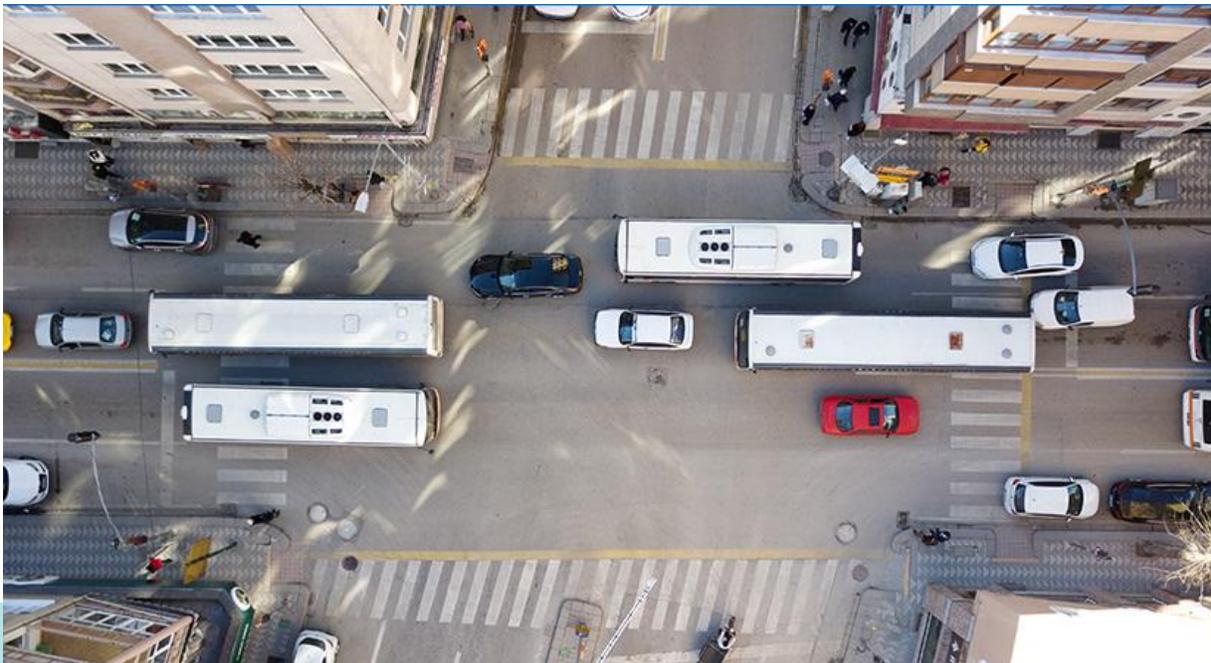
CLA's community impact team unifies the work of connection, belonging and the CLA Foundation with a laser focus on advancing education, employment, and entrepreneurship within CLA and throughout our society.

Since 2015, our [CLA Foundation](#) has granted more than \$13 million from nominations made by and funds raised from CLA family members. Each grant recipient's work aligns with the foundation's mission to create career opportunities through education, employment, and entrepreneurship by connecting networks inclusive of all genders and races, veterans, and the disability community.

Read more in CLA's annual [Promise Report](#).

### v. Any pending agreements to merge or sell

We do not anticipate any merger with another accounting firm or the acquisition of assets from another accounting firm that would have a material impact on CLA. However, the purpose of all of merger and acquisition activity is to broaden the scope and quality of services we provide to our clients.



vi. Key personnel and resumes

The true value in working with our team is developing a personal and professional relationship with leaders who understand your industry, challenges, and opportunities — with the full support of an entire CLA family behind them.

Engagement Team Member	Role
Adam Pulley, CPA <i>Principal</i>	<b>Engagement principal</b> – Adam will have overall engagement responsibility including planning the engagement, developing the audit approach, supervising staff, and maintaining client contact throughout the engagement and throughout the year. He is responsible for total client satisfaction through the deployment of all required resources and continuous communication with management and the engagement team.
Sandy Cook, CPA <i>Signing Director</i>	<b>Technical resource director</b> – Sandy will be the technical resource for the audit team as well as PBC personnel. Her many years of experience serving governmental entities, including public building commissions, will be an invaluable resource.
Kevin Rogers, CPA <i>Manager</i>	<b>Engagement manager</b> – Kevin, Faith, and Ben will act as managers on the engagement. In their roles, they will assist the engagement principal with planning the engagement and performing complex audit areas. They will perform a technical review of all work performed by the subcontractor and are responsible for the review of the annual comprehensive financial report and all related reports.
Faith Freeman, CPA <i>Manager</i>	
Ben Packett, CPA <i>Manager</i>	
Jim Kreiser, CISA, CRMA, CFSA <i>Principal</i>	<b>Information systems</b> – Jim will serve as the Information Technology (IT) and General Control systems resource to PBC’s engagement. He will perform a review of Information Systems (IS) controls to conclude whether they are properly designed and operating effectively. For IS-related controls that we deem to be ineffectively designed or not operating as intended, he will communicate our findings and will provide recommendations to improve internal controls.
Prado & Renteria	<b>Subcontractor</b> – P&R will work alongside CLA and be involved in planning, meetings, and audit team communications. Their work is reviewed as part of the engagement as a whole, which improves the efficiency of the audit and reporting process.
<b>Additional staff</b> – We will assign additional staff to your engagement based on your needs and their experience providing services to similar clients.	



# Adam Pulley, CPA

## CLA (CliftonLarsonAllen LLP)

Principal  
Peoria, Illinois

309-495-8767  
adam.pulley@CLAconnect.com



### Profile

With more than 18 years of experience, Adam specializes in audits of state and local governmental entities. He has also served the engagements of various governmental entities conducted under the Single Audit and OMB standards.

### Technical experience

- Supervises financial audit engagements and single audits
- Provides technical assistance to personnel during engagements
- Assists governmental entities in preparing financial statements to obtain the GFOA Certificate of Excellence

### Education and professional involvement

- Master of accounting from University of Illinois, Urbana-Champaign, Illinois
- Bachelor of accountancy from University of Illinois, Urbana-Champaign, Illinois
- Illinois CPA Society
- Former Board President of Crittenton Centers, Peoria
- American Institute of Certified Public Accountants
- Illinois Government Finance Officers Association

### Key relevant clients

- St. Louis County, Missouri
- City of Peoria, Illinois
- Illinois Department of Transportation
- City of Havana, Illinois
- City of East Peoria, Illinois
- Illinois Central College
- Illinois DCFS
- Town of Normal, Illinois
- Menard County, Illinois
- Logan County, Illinois
- Tazewell County, Illinois
- Woodford County, Illinois
- Livingston County, Illinois
- Jackson County, Illinois
- Jo Daviess County, Illinois
- Peoria Public Schools
- Village of Tampico, Illinois
- City of Tipton, Iowa
- City of Waverly, Iowa
- Illinois Gaming Board
- Illinois State Toll Highway Authority
- City of St. Louis, Missouri
- Arthur Community Unit School District
- Morton Community Unit School District



# Sandra M. Cook, CPA

**CLA (CliftonLarsonAllen LLP)**

Signing Director  
Danville, Illinois

217-431-4649  
sandy.cook@CLAconnect.com



## Profile

With more than 24 years of experience, Sandy specializes in audit and accounting services and general business consulting for self-insured risk pools and governmental entities. She has experience assisting these types of entities in preparing their financial statements and has supervised numerous audits for smaller and larger clients.

## Technical experience

- Direct planning and execution efforts in support of the audit
- Supervise and assist audit staff personnel who perform fieldwork
- Assist personnel with technical issues as they arise
- Identify value-added service opportunities for governments
- Presentation of financial statements and other applicable reports to management
- Experience auditing state and local governments, including single audits

## Education and professional involvement

- Bachelor of science, accounting, Eastern Illinois University, Charleston, Illinois
- American Institute of Certified Public Accountants
- Illinois CPA Society

## Key relevant clients

- Peoria Public Building Commission
- Danville Public Building Commission
- Danville Township
- Newell Township
- Pilot Township
- City of Danville
- Danville Sanitary District
- Champaign County
- Champaign-Urbana Mass Transit District
- Champaign-Urbana Public Health District



# Kevin Rogers, CPA

## CLA (CliftonLarsonAllen LLP)

Manager  
Oak Brook, Illinois

630-954-8144  
kevin.rogers@CLAconnect.com



### Profile

Kevin is a manager with more than seven years of experience in public accounting, focusing on audits and compliance of state and local governmental entities and higher education institutions.

### Technical experience

- State and local government
- Leads audit engagements
- Single audits
- Compliance services
- Reviews financial statements and other required reports
- Assists clients with GFOA Certificates of Achievement in Financial Reporting

### Education and professional involvement

- Bachelor of science in accounting Northern Illinois University, DeKalb, Illinois
- Certified Public Accountant in the State of Illinois
- Illinois Government Finance Officials Association

### Key relevant clients

- Illinois State Toll Highway Authority
- Illinois Housing Development Authority
- Illinois Department of Transportation
- Northern Illinois University
- City of St. Louis, Missouri
- College of DuPage (Illinois Community College)



# Faith Freeman, CPA

## CLA (CliftonLarsonAllen LLP)

Manager  
Oak Brook, Illinois

309-557-1206  
faith.freeman@CLAconnect.com



### Profile

Faith is a manager with more than ten years of experience providing audit and consulting services to the state and local entities team.

### Technical experience

- State and local government
- Leads audit engagements
- Single audits
- Reviews financial statements and other required reports
- Assists clients with GFOA Certificates of Achievement in Financial Reporting
- Consulting services to local governments

### Education and professional involvement

- Master of science in accounting from Southern Illinois University, Carbondale, Illinois
- Certified Public Accountant in the state of Illinois
- Illinois CPA Society
- Illinois Government Finance Officials Association

### Key relevant clients

- Village of Rantoul, Illinois
- City of Sullivan, Illinois
- Arthur Community Unit School District
- Bloomington and Normal Water Reclamation District
- Iroquois County, Illinois
- Sangamon County, Illinois
- McLean County, Illinois
- Effingham County, Illinois
- Champaign County, Illinois



# Ben Packett, CPA

## CLA (CliftonLarsonAllen LLP)

Manager  
Chicago, Illinois

331-270-2407  
ben.packett@CLAconnect.com



### Profile

Ben has more than 13 years of experience specializing in audits of governmental entities. Ben has also conducted audits of local government entities subject to Single Audit and state compliance requirements.

### Technical experience

- Performing and managing financial statement audits of state and local governments
- Performing Single Audits in accordance with *Uniform Guidance*
- Assisting governmental entities with financial statement preparation
- Providing technical assistance with implementation of GASB pronouncements

### Education and professional involvement

- Bachelor of business administration from University of Mary Washington, Fredericksburg, Virginia
- Certified Public Accountant in the state of Illinois
- American Institute of Certified Public Accountants
- Illinois CPA Society
- Illinois Government Finance Officers Association
- Wisconsin Government Finance Officers Association

### Key relevant clients

- Illinois Housing Development Authority
- City of Zion, Illinois
- City of Wauwatosa, Wisconsin
- City of Kenosha, Wisconsin
- Waukesha County, Wisconsin
- Iowa County, Wisconsin
- Kenosha Water Utility
- Racine Water and Wastewater Utilities
- Village of Pleasant Prairie, Wisconsin



# Jim Kreiser, CISA, CRMA, CFSA

## CLA (CliftonLarsonAllen LLP)

Principal  
King of Prussia, Pennsylvania

717-857-2613  
james.kreiser@CLAconnect.com



### Profile

Jim is a principal in the CLA value and risk services group. He has 26 years of professional services experience in providing consulting and advisory services. Jim spent ten years of his experience working at a Big 4 firm in their business risk and technical risk services groups. His experience also includes internal audit roles at CitiBank, AMP, and Capital BlueCross. Jim has also held roles as chief risk officer, general auditor, and others. His focus has primarily been in the governmental sector and healthcare industries. At CLA, Jim focuses on clients particularly with process and risk consulting, IT services, performance audit, internal audit, risk assessment, SOC reporting, and third-party reporting services.

### Technical experience

Jim's experience includes managing and leading projects, which include engagements related to outsourced and co-sourced internal auditing, IT audit, third-party reviews and performance audits, enterprise risk management processes, IT security and auditing services, SSAE 21 reporting (SOC 1 and SOC2), operational improvements, process solutions and implementation for those solutions across the organization. His primary focus for the firm is as the current national IT services leader for state and local governments. His service experience includes business risk, IT, and internal audits. Specific experience includes the following:

- Internal audit outsourcing and co-sourcing, including information technology, financial, performance audits, and operational audits
- IT security and risk consulting
- Enterprise-wide risk assessments
- IIA quality assurance reviews (QAR)
- Vendor management and implementation assessment
- Compliance related activities and benchmarking
- Presentations and training; including speaking at various conferences/professional organizations on IT controls, security, ERM, and third-party reporting, and risk management

### Education and professional involvement

- Bachelor of science in managerial economics and philosophy, Carnegie Mellon University, Pittsburgh, Pennsylvania
- Certified Information Systems Auditor (CISA)
- Certified in Risk Management Assurance (CRMA)
- Certified Financial Services Auditor (CFSA)



## **Maria de J. Prado, CPA**

*Managing Partner*

Prado & Renteria CPAs, Prof. Corp.

Page 1 of 3

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### **PROFESSIONAL SUMMARY**

**Maria de J. Prado, CPA** is the Managing Partner and co-founder of the company. She has more than thirty years of management consulting, auditing and accounting experience in the governmental, non-profit and commercial sectors. She is accountable for oversight of consulting engagements and provides the technical review function for audit and attestation services. She is a Certified Public Accountant licensed to practice in the state of Illinois. She is a member of the American Institute of Certified Public Accountants and the Illinois CPA Society. In 2020, Ms. Prado was appointed by Governor J. B. Pritzker to serve on the Board of Directors of the Illinois Board of Examiners. Ms. Prado previously served on the Board of Directors for the Illinois CPA Society (2016 -2019) and the Illinois Hispanic Chamber of Commerce (2014-1019). Maria was recognized by Crain's Chicago Business for 2024's Notable Latino Leaders honoree recognition.

### **PROFESSIONAL EXPERIENCE**

**Prado & Renteria CPAs, Prof. Corp.**

**Chicago, IL**

*Managing Partner*

1990 – Present

- Ultimately responsible for client satisfaction on all firm engagements.
- Accountable for service delivery and for delivering value for consulting engagements.
- Provides oversight and quality assurance/quality control on audit and other attestation engagements.
- Provides technical support and independent review of final client deliverables for audit and other attestation engagements.
- Provides management consulting and training services.

Details of experience and accountability for specific engagements follows:

*Accounting and Management Consulting Services* – Provide accounting and management consulting services for governmental, non-profit and private business entities including advisory services relating to budgeting, accounting and strategic planning. Provide accounting and management consulting services such as development of internal controls policies and procedures and the implementation of budgets and strategic plan development and implementation.

**PROFESSIONAL EXPERIENCE**

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**Prado & Renteria CPAs Prof. Corp (Continued)**

A representative list of relevant current and prior client accountabilities includes:

- City of Chicago – MBE/WBE Certification Processing Assistance
  - Accountable for ensuring accuracy and quality reviews
  - Provided training for new team members on the review and processing of City of Chicago M/WBE Certification Applications
  - Provided final review of summary reports, certification files and letters recommending approval or denial of certification application.
- City of Chicago – Sub-grantee Monitoring (UG Single Audit Report Reviews)
- Various Non-Profit and Private Entities – consulting engagements consisting of technical assistance, financial analysis, cost and fee assessments, internal control evaluations and development of financial policies and procedures manuals.

*Accounting and Management Consulting Services*

Interim CFO function for clients. Accountabilities include:

- Accountable for oversight of financial statement preparation, tax returns, reports for governmental regulatory agencies and reports for grantors.
- Engagement of key stakeholders in the budget process.
- Establish and maintain an atmosphere of collaboration and communication among all areas of the organization that interact with the accounting function.
- Provide leadership role in major projects where the CFO's skills and/or experience are critically important.
- Establish structures for oversight of key treasury responsibilities including cash management.
- Partner with CEO and prepare financial analysis for addressing matters related to union contract negotiations.

*Attestation Services* – Provides oversight and quality assurance/quality control on audit and other attestation engagements. Provides technical support and independent review of final client deliverables for audit and other attestation engagements.

**First National Bank of Chicago (Now J.P. Morgan Chase)**

**Chicago, IL**

*Senior Auditor*

1987 – 1990

- Performed internal control and compliance audits.
- Evaluated internal control processes and procedures.
- Prepared detailed risk evaluation reports for specific bank products/services.
- Performed analytical reviews of Bank's balance sheet and income statement accounts.

**OTHER ACTIVITIES**

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- Illinois Board of Examiners –Board of Directors member (August 2020-Present)
- Illinois CPA Society –Nominating Committee member (2019-2020)
- Illinois CPA Society –Board of Directors member (2015-2018)
- The Resurrection Project – Finance Committee
- Illinois Hispanic Chamber of Commerce – Board of Directors (2015-2019)
- Asociación Obras Sociales del Santo Hermano Pedro, Guatemala (a non-profit organization serving people with severe physical and mental disabilities and providing specialized medical services for the underserved and indigenous communities in Guatemala) - Pro Bono Facilitator for a Targeted Five-Year Strategic Plan (2010-2015).
- City of Chicago, Department of Procurement Services – “How to Become Certified” Monthly Training Course, Presenter of Accounting and Financial-related topics (2018-2019)

**PROFESSIONAL QUALIFICATIONS**

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- Licensed Certified Public Accountant - Illinois
- Bachelor of Science in Accounting, University of Illinois at Chicago

**PROFESSIONAL AFFILIATIONS**

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- American Institute of Certified Public Accountants (AICPA)
- Illinois CPA Society

c. Organization chart



d. Licenses and certifications

i. State of Illinois business license



ii. City of Chicago business license

Certified Public Accountants are not required to have a Chicago business license, because CPAs are licensed by the Illinois Department Financial and Professional Regulation.

iii. CPA/Government accounting license

CLA is a limited liability partnership and is duly licensed to practice public accountancy in the state of Illinois. A copy of our state license is provided below:



iv. Related required audit licenses

<b>NAME:</b> ADAM PULLEY	
<b>STATE OF LICENSE:</b> IL	
<b>LAST UPDATED:</b> 2025-07-30	
<b>Address:</b>	<b>Business</b> PEORIA, IL, 065.035957
<b>License/Permit/Certificate Number:</b>	
<b>Registration Number:</b>	
<b>License/Permit/Certificate Status:</b>	ACTIVE
<b>License/Certificate Status Details:</b>	License is not encumbered in any way and licensee can practice in the profession, license was issued according to law.
<b>License Type:</b>	LICENSED CPA Please note the license numbering schema to determine credential type. Illinois Licensed CPAs are those whose license number begins with 065. An actively licensed CPA may sign, affix, or associate his or her name to any report expressing an assurance on a financial statement or disclaiming an opinion on a financial statement based on an audit or examination of that statement or to express assurance on a financial statement. 225 ILCS 450/8.05(a)(1). The individual may also perform attestation engagements and perform any of the following services: accounting, preparation of tax returns, management, financial or consulting services, compilations, internal audit, furnishing advice on tax matters, bookkeeping, and representations of taxpayers. 225 ILCS 450/8.05(a)(2)-(3). Licensed CPAs may use the 'CPA' designation.
<b>License Type Details:</b>	
<b>Basis for License:</b>	ACCEPT EXAM Initial License/ Acceptance of Exam. Applicants who have passed the Uniform CPA Examination, and may or may not be licensed to practice public accounting in another jurisdiction, may apply for an Initial License from the Illinois Department of Financial and Professional Regulation (IDFPR). Applicants who passed the examination in Illinois must obtain the certificate from the Illinois Board of Examiners before applying for the license.
<b>Basis for License Details:</b>	

NAME: SANDY COOK  
STATE OF LICENSE: IL  
LAST UPDATED: 2025-07-30

**Address:**  
**License/Permit/Certificate Number:**  
**Registration Number:**  
**License/Permit/Certificate Status:**

**Business**  
OAKWOOD, IL,  
065.032685

**License/Certificate Status Details:**

ACTIVE  
License is not encumbered in any way and licensee can practice in the profession, license was issued according to law.

**License Type:**

LICENSED CPA  
Please note the license numbering schema to determine credential type. Illinois Licensed CPAs are those whose license number begins with 065. An actively licensed CPA may sign, affix, or associate his or her name to any report expressing an assurance on a financial statement or disclaiming an opinion on a financial statement based on an audit or examination of that statement or to express assurance on a financial statement. 225 ILCS 450/8.05(a)(1). The individual may also perform attestation engagements and perform any of the following services: accounting, preparation of tax returns, management, financial or consulting services, compilations, internal audit, furnishing advice on tax matters, bookkeeping, and representations of taxpayers. 225 ILCS 450/8.05(a)(2)-(3). Licensed CPAs may use the 'CPA' designation.

**License Type Details:**

**Basis for License:**  
**Basis for License Details:**

NON-EXAM  
Contact the State Board for details of this individuals basis for credentials.

**Issue Date:**

2006-09-28

**Expiration Date:**

2027-09-30

**Enforcement, Non-Compliance or Disciplinary Actions:**

None Reported To This Site By The Board

**Other Information:**

Prior to 2006, the Illinois Board of Examiners issued certificates for passing the Uniform CPA Examination. Certificate holders were allowed to use the CPA title, but were restricted from performing attest services without obtaining a public accounting license from the Illinois Department of Professional Regulation. The records for these individuals who did not register with the IDPR after 2006, are not included in CPAverify.org. Those records can be searched at the Illinois Board of Examiners website: [www.ilboa.org](http://www.ilboa.org)

NAME: KEVIN ROGERS  
STATE OF LICENSE: IL  
LAST UPDATED: 2025-07-30

**Address:**  
**License/Permit/Certificate Number:**  
**Registration Number:**  
**License/Permit/Certificate Status:**

**Business**  
YORKVILLE, IL,  
065.061608

**License/Certificate Status Details:**

ACTIVE  
License is not encumbered in any way and licensee can practice in the profession, license was issued according to law.

**License Type:**

LICENSED CPA  
Please note the license numbering schema to determine credential type. Illinois Licensed CPAs are those whose license number begins with 065. An actively licensed CPA may sign, affix, or associate his or her name to any report expressing an assurance on a financial statement or disclaiming an opinion on a financial statement based on an audit or examination of that statement or to express assurance on a financial statement. 225 ILCS 450/8.05(a)(1). The individual may also perform attestation engagements and perform any of the following services: accounting, preparation of tax returns, management, financial or consulting services, compilations, internal audit, furnishing advice on tax matters, bookkeeping, and representations of taxpayers. 225 ILCS 450/8.05(a)(2)-(3). Licensed CPAs may use the 'CPA' designation.

**Basis for License:**

LIC BY EXAM

**Issue Date:**

2024-11-19

**Expiration Date:**

2027-09-30

**Enforcement, Non-Compliance or Disciplinary Actions:**

None Reported To This Site By The Board

**Other Information:**

Prior to 2006, the Illinois Board of Examiners issued certificates for passing the Uniform CPA Examination. Certificate holders were allowed to use the CPA title, but were restricted from performing attest services without obtaining a public accounting license from the Illinois Department of Professional Regulation. The records for these individuals who did not register with the IDPR after 2006, are not included in CPAverify.org. Those records can be searched at the Illinois Board of Examiners website: [www.ilboa.org](http://www.ilboa.org)

NAME: FAITH FREEMAN  
STATE OF LICENSE: IL  
LAST UPDATED: 2025-07-30

**Address:**

**License/Permit/Certificate Number:**

**Registration Number:**

**License/Permit/Certificate Status:**

**License/Certificate Status Details:**

**License Type:**

**License Type Details:**

**Basis for License:**

**Basis for License Details:**

**Issue Date:**

**Expiration Date:**

**Enforcement, Non-Compliance or Disciplinary Actions:**

**Other Information:**

**Business**

BLOOMINGTON, IL,  
065.056993

ACTIVE

License is not encumbered in any way and licensee can practice in the profession, license was issued according to law.

LICENSED CPA

Please note the license numbering schema to determine credential type. Illinois Licensed CPAs are those whose license number begins with 065. An actively licensed CPA may sign, affix, or associate his or her name to any report expressing an assurance on a financial statement or disclaiming an opinion on a financial statement based on an audit or examination of that statement or to express assurance on a financial statement. 225 ILCS 450/8.05(a)(1). The individual may also perform attestation engagements and perform any of the following services: accounting, preparation of tax returns, management, financial or consulting services, compilations, internal audit, furnishing advice on tax matters, bookkeeping, and representations of taxpayers. 225 ILCS 450/8.05(a)(2)-(3). Licensed CPAs may use the 'CPA' designation.

ACCEPT EXAM

Initial License/ Acceptance of Exam. Applicants who have passed the Uniform CPA Examination, and may or may not be licensed to practice public accounting in another jurisdiction, may apply for an Initial License from the Illinois Department of Financial and Professional Regulation (IDFPR). Applicants who passed the examination in Illinois must obtain the certificate from the Illinois Board of Examiners before applying for the license.

2021-08-25

2027-09-30

None Reported To This Site By The Board

Prior to 2006, the Illinois Board of Examiners issued certificates for passing the Uniform CPA Examination. Certificate holders were allowed to use the CPA title, but were restricted from performing attest services without obtaining a public accounting license from the Illinois Department of Professional Regulation. The records for these individuals who did not register with the IDPR after 2006, are not included in CPAverify.org. Those records can be searched at the Illinois Board of Examiners website: [www.ilboa.org](http://www.ilboa.org)

NAME: WILLIAM PACKETT  
STATE OF LICENSE: IL  
LAST UPDATED: 2025-07-30

**Address:**

**License/Permit/Certificate Number:**

**Registration Number:**

**License/Permit/Certificate Status:**

**License/Certificate Status Details:**

**License Type:**

**License Type Details:**

**Basis for License:**

**Basis for License Details:**

**Issue Date:**

**Expiration Date:**

**Enforcement, Non-Compliance or Disciplinary Actions:**

**Other Information:**

**Business**

CHICAGO, IL,  
065.060339

ACTIVE

License is not encumbered in any way and licensee can practice in the profession, license was issued according to law.

LICENSED CPA

Please note the license numbering schema to determine credential type. Illinois Licensed CPAs are those whose license number begins with 065. An actively licensed CPA may sign, affix, or associate his or her name to any report expressing an assurance on a financial statement or disclaiming an opinion on a financial statement based on an audit or examination of that statement or to express assurance on a financial statement. 225 ILCS 450/8.05(a)(1). The individual may also perform attestation engagements and perform any of the following services: accounting, preparation of tax returns, management, financial or consulting services, compilations, internal audit, furnishing advice on tax matters, bookkeeping, and representations of taxpayers. 225 ILCS 450/8.05(a)(2)-(3). Licensed CPAs may use the 'CPA' designation.

ENDORSEMENT

Endorsement of License. An Applicant having a valid unrevoked license to practice as a CPA from a jurisdiction whose requirements were substantially equivalent to Illinois requirements at the time the license was issued and who is planning to relocate to Illinois must apply for an Endorsement of License from the IDFPR, either through registration of Applicants current license or by reciprocal licensure.

2023-11-17

2027-09-30

None Reported To This Site By The Board

Prior to 2006, the Illinois Board of Examiners issued certificates for passing the Uniform CPA Examination. Certificate holders were allowed to use the CPA title, but were restricted from performing attest services without obtaining a public accounting license from the Illinois Department of Professional Regulation. The records for these individuals who did not register with the IDPR after 2006, are not included in CPAverify.org. Those records can be searched at the Illinois Board of Examiners website: [www.ilboa.org](http://www.ilboa.org)

**EXHIBIT A – LEGAL ACTIONS  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

# Tab 7. Exhibits

## EXHIBIT A – LEGAL ACTIONS

FIRM NAME CliftonLarsonAllen LLP (CLA)

### I. LEGAL ACTIONS

If the answer to any of the questions below is YES, you must provide a type-written, brief description, and/or explanation on a separate sheet following this page. Each question must be answered.

Question	Yes	No
Has the firm or venture been issued a notice of default on any contract awarded to it in the last 3 years?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Does the firm or venture have any legally filed judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
If the answer to the preceding question is "Yes", provide the requisite explanation on a separate sheet and include the date(s) of filing with the corresponding dollar amount of claims (or judgments and the contract value of the contract).		
Within the past 3 years has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Within the last 3 years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid-rigging or bid-rotating?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever been temporarily or permanently debarred from contract award by any federal, state, or local agency?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Within the last 3 years, has the firm or venture been investigated or assessed penalties for any statutory or administrative violations (including but not limited to MBE, WBE, EEOC violations)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has the firm or venture ever failed to complete any work awarded to it?	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**EXHIBIT B – DISCLOSURE AFFIDAVIT  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## EXHIBIT B – DISCLOSURE AFFIDAVIT

**I. HISTORY AND OWNERSHIP OF RESPONDENT FIRM**

Any firm proposing to conduct any business transactions with the Public Building Commission of Chicago must complete this Disclosure Affidavit. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a completed Disclosure Affidavit.

The undersigned Adam Pulley, as Principal, \_\_\_\_\_  
Name Title

and on behalf of CliftonLarsonAllen LLP (CLA)  
 ("Bidder/Proposer/Respondent or Contractor") having been duly sworn under oath certifies the following:

RESPONDENT			
<b>Name of Firm:</b>	CliftonLarsonAllen LLP (CLA)		
<b>Address:</b>	1 North Franklin Street, Suite 1100		
<b>City/State/Zip:</b>	Chicago, IL 60606		
<b>Telephone:</b>	309-495-8767	<b>Facsimile:</b>	630-573-0798
<b>FEIN:</b>	41-0746749	<b>SSN:</b>	-
<b>Email:</b>	adam.pulley@CLAconnect.com		
<b>Nature of Transaction:</b>			
<input type="checkbox"/> Sale or purchase of land <input type="checkbox"/> Construction Contract <input checked="" type="checkbox"/> Professional Services Agreement <input type="checkbox"/> Other _____			

**II. DISCLOSURE OF OWNERSHIP INTERESTS**

Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all Bidders/Proposers shall provide the following information with their Bid/Proposal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

<input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Joint Venture	<input type="checkbox"/> Limited Liability Company <input checked="" type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> Not-for-profit Corporation <input type="checkbox"/> Other: _____
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### EXHIBIT B – DISCLOSURE AFFIDAVIT

**A. CORPORATIONS AND LLC'S**

State of Incorporation or Organization:		Minnesota
If outside of Illinois, is your firm authorized to conduct business in the State Of Illinois:		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
City/State/ZIP:	Minneapolis, MN 55402	
Telephone:	612-376-4500	
Identify the names of all officers and directors of the business entity. <i>(Please attach list if necessary.)</i>		
Name	Title	
(see attached)		
Identify all shareholders whose Ownership percentage exceeds 7.5% of the business entity. <i>(Please attach list if necessary.)</i>		
Name	Address	Ownership Interest Percentage
None.		%
		%
		%
LLC's only, indicate Management Type and Name:		
<input type="checkbox"/> Member-managed	<input type="checkbox"/> Manager-managed	Name: _____
Is the corporation or LLC owned partially or completely by one or more other corporations or legal entities?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If yes, please provide the above information, as applicable, for each such corporation or entity such that any person with a beneficial Ownership interest of 7.5% or more in the corporation contracting in the PBC is disclosed. For example, if Corporation B owns 15% of Corporation A, and Corporation A is contracting with the PBC, then Corporation B must complete a Disclosure Affidavit. If Corporation B is owned by Corporations C and D, each of which owns 50% of Corporation B, then both Corporations C and D must complete Disclosure Affidavits.</i>		

## EXHIBIT B – DISCLOSURE AFFIDAVIT

**B. PARTNERSHIPS**

If the bidder/proposer or contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether General Partner (GP) or Limited Partner (LP).		
Name	Type	Ownership Interest Percentage
		%
CLA is a limited liability partnership, with more than 1,200 principals and signing directors (including over 375 owners). none of whom own more than a 2% interest in the firm.		%
		%
		%
		%

**C. SOLE PROPRIETORSHIP**

<b>The bidder/proposer or contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary:</b>	
If the answer is no, please complete the following two sections.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.</b>	
Name of Principal(s)	
(not applicable)	
<b>If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may be exercised.</b>	
Name	Address
(not applicable)	

## EXHIBIT B – DISCLOSURE AFFIDAVIT

---

### III. CONTRACTOR CERTIFICATION

#### A. CONTRACTORS

1. The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribe<sup>1</sup> or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
  - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
  - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging<sup>2</sup> in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of Submission of this bid, proposal or response.
3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
  - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

## EXHIBIT B – DISCLOSURE AFFIDAVIT

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### B. SUBCONTRACTORS

1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section 2 which is matter of record but has/have not been prosecuted for such conduct.
2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct described as prohibited in this document of or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described as prohibited in this document which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to all necessary items. In the event any subcontractor is unable to certify to a particular item, such subcontractor shall attach an explanation to the certification.
3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by this document and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

### C. STATE TAX DELINQUENCIES

1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
2. Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
3. If the Contractor is unable to certify to any of the above statements, the Contractor shall explain below. Attach additional pages if necessary.

## EXHIBIT B – DISCLOSURE AFFIDAVIT

---

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

### D. OTHER TAXES/FEEES

1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
2. If Contractor is unable to certify to the above statement, Contractor shall explain below and (attach additional pages if necessary).

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### E. PUNISHMENT

1. A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

### G. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

- A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local environmental restriction, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other environmental restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other environmental restriction.

### EXHIBIT B – DISCLOSURE AFFIDAVIT

If the Contractor cannot make the certification contained in the above paragraph, identify any exceptions (attach additional pages if necessary):

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

#### H. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

#### I. VERIFICATION

Under penalty of perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Compliance, 50 W. Washington, Room 200, Chicago, IL 60602.

Signed by: Adam Pulley  
8AD1F4FCAS308182C Signature of Authorized Officer

Adam Pulley, CPA  
 Name of Authorized Officer (Print or Type)

Principal  
 Title

309-495-8767  
 Telephone Number

State of FL  
 County of Polk

Signed and sworn to before me on this 11 day of August, 2025 by  
Adam Pulley (Name) as Principal (Title) of  
CliftonLarsonAllen LLP (CLA) (Bidder/Proposer/Respondent or Contractor)

Kayla J. C. Martin  
 Notary Public Signature and Seal

KAYLA J. C. MARTIN  
 NOTARY PUBLIC  
 STATE OF FLORIDA  
 Commission #HH327672  
 My Commission Expires 10/31/2026  
 ONLINE NOTARY

Last Name	First Name	Last Name	First Name	Last Name	First Name
Aase	Benjamin	Gibson	Rusty	Olson	Evelyn
Abbamondi	Cynthia	Giesen	Tom	Olson	David
Abell	Christine	Gilbert	Allen	Olson	Jim
Abiola-Banjac	Folashade	Gilmore	Octavia	O'Malley	Susan
Abraham	Mathew	Gilmore	Kevin	Omisore	Remi
Abramovich	Omer	Glavicic	Gwynne	Oonk	Jeff
Ackerman	Joel	Glen	Dale	O'Shaughnessy	Timothy
Acosta	Roxana	Glenn	Sondra	Osmolowski	Alan
Adams	Lisa	Godino	Matthew	Ostedt	Becky
Aguilar	Nicole	Gohagan	John	Oster	Andrew
Aho	Nolan	Gokey	Scott	Ostlund	Andrew
Ahumada	Diego	Goldade	Matt	Ouellette	Jonathan
Ajmera	Shilpa	Goldenstein	Jean	Palecek	Steve
Ajster	Nick	Golob	Tim	Palma	Andrea
Akmon	Daniel	Gomez	Adrian	Palmer	Joy
Alberts	Paul	Gomez	Marlen	Pangindian	Gigi
Alfele	Peter	Gonzalez	Rich	Paradee	Bethany
Allard	Devin	Good	Mallory	Park	David
Allen	Kristie	Goonewardena	Shevar	Parker	Jeff
Altenburg	Ron	Gorden	Scott	Parnas	Bob
Amoss	Cheri	Goren	Ray	Parrish	Brent
Amy	Rachel	Graff	Nick	Patel	Bhakti
Anaya	Raul	Graves	Laurie	Paulsen	Fred
Anders	Dana	Greden	Luke	Paulsen	Nicholas
Anderson	Matt	Green	Jim	Pawlowski	Nick
Anderson	April	Green	Cathy	Pearson	Claire
Anderson	Kim	Greenhoe	Kyla	Pederson	Nate
Anderson	Judy	Gregor	Katelyn	Peek	Jeffrey
Anderson	David	Gries	Mike	Peer	Michael
Anderson	Denee	Grinde	Heidi	Pelletier	Michael
Anderson	Casey	Gross	Stephen	Penn	Kimberly
Andracsek	Chris	Grossman	Ed	Pennington	Walter
Aniskoff	Jessica	Grossman	Jenn	Perez	Joel
Appelquist	Karl	Groves	Dave	Persaud	Daniel
Arends	Craig	Gruden	Alix	Peterson	Beth
Arn	Jaimi	Grunewald	Bryan	Peterson	Terry
Aronson	Eric	Gugora	Christopher	Peterson	Erin
Asher	Steve	Gunther	Emily	Petri	Stephanie
Asselin	Megan	Gupta	Ajay	Phelps	Kate
Augenbergs	Erik	Gustavson	John	Phillips	Chase
Auterman	Beth	Haakonson	Jim	Pietrouchie	Harry
Ayers	Dennis	Habib	Rahim	Piotrowski	James
Baas	Mike	Haidet	Jon	Pitzen	Mary Jo
Babicz	Stan	Haight	Tara	Placencia	Marsha

Last Name	First Name	Last Name	First Name	Last Name	First Name
Bablani	Sundeep	Hall	Olga	Platt	Jessica
Back	Jason	Hall	Deanna	Pollack-Wilson	Crystal
Badger	Casey	Hallada	Tony	Pollard	Blake
Baez	Joe	Halvorsen	Jim	Popenhagen	Craig
Bagner	Ken	Hamilton	Jenna	Porter	David
Bailey	Paul	Hamilton	Amber	Potts	Cameron
Bain	Nicola	Hamilton	Elizabeth	Potvin	Allison
Bainter	Caitlin	Handrick	Chris	Powers	Harrison
Baird	Michelle	Hanke	Phillip	Powers	Jen
Baker	Amber	Hansen	Rachelle	Price	Thomas
Baker	Micara	Hansen	Jonathan	Price	Ray
Bakke	Jason	Harn	Virginia	Price	Justin
Baldrige	Christa	Harper	Liz	Prigge	Mike
Ballestas	Melanie	Harrell	Scott	Prigionieri	Vanessa
Bannatyne	Matthew	Harrison	Tiffany	Prinzo	Michael
Baquero	Kristin	Hart	Michael	Proctor	Erica
Barber	Mitch	Hart	Greg	Prophet	John
Bardenwerper	Renee	Hartke	Cory	Propst	Luke
Barger	Larry	Hartman	Michelle	Przybysz	Ann
Barke	Jake	Hartnett	Bridget	Ptasinski	Gema
Barnette	Matt	Hartsook	Londa	Pugh	Jennifer
Barrett	Michael	Harwood	Marcus	Pulley	Adam
Barrineau	Elly	Hasenbank	Todd	Pye	Megan
Barry	Timothy	Hastings	Sean	Pye	Brian
Barry	Keith	Hatch	Dean	Pyka	Tony
Barsema	Ashley	Hatef	Suhrab	Pyzdrowski	Adam
Barta	Joshua	Haubrich	Rich	Quinlin	Brian
Bartholomew	Nat	Hawley	Jaclyne	Quintana	Jaime
Bartholomew	Matt	Heckman	Catherine	Radermacher	Kassie
Bartlett	Stefania	Hegarty	Chris	Raffa	Candy
Bartow	Carrie	Heid	Charlie	Ramirez	Antoneitte
Basile	Josh	Heilman	James	Rappis	Jamey
Basinger	Heather	Heitgerd	Jeff	Rassi	John
Bastian	Dan	Hellwege	Sam	Rassilyer	Bryce
Bauer	Becky	Hemstreet	Pam	Ray	Shannon
Bauer	Dan	Henderson	Margaret	Reagan	Michele
Baumann	Brad	Hendrix	Mike	Ream	Brian
Baumeister	Rick	Heneke	David	Redmond	Patrick
Baumgart	Brian	Hengel	Alex	Reedy	Mary
Baymiller	David	Henkemeyer	Jamie	Reeves	Mike
Bechtel	Vanessa	Hennen	Rob	Reichling	Sarah
Beeman	Matthew	Henninger	John	Reinhart	Bryon
Beenken	Eric	Henton	Tina	Reisberg	Steven
Beham	Wes	Herrington	Jacki	Rembach	Ann

Last Name	First Name	Last Name	First Name	Last Name	First Name
Behm	Todd	Hess	Tyler	Remus	Eric
Bellows	Mark	Hess	Scott	Resnick	Dan
Beltran-Schmitz	Laura	Hewitt	Ross	Richard	Kimberly
Benedict	Meredith	Heyman	Carey	Richards	Jonathan
Benjamin	Emily	Hickman	Dave	Richards	Jennifer
Bennett	Dan	Hicks	Jeremy	Richmond	Lisa
Bennett	Austin	Hicks	Derek	Rider	Liz
Benoudiz	Mike	Hicks	Dennis	Riemenschneider	Dan
Benson	Todd	Hill	Brandon	Rigler	Russ
Bentz	Colleen	Hill	Sheila	Ritchay	Ryan
Berkovitz	Michelle	Hillberg	Kim	Ritchie	Scott
Berman	Kevin	Hillman	Heidi	Roark	Kasie
Bermingham	Katie	Hillstrom	Linda	Roberts	Jeff
Berry	Matthew	Hines	Christopher	Roberts	Susan
Berry	Brian	Hinsch	Mike	Roberts	Kyle
Bertossi	Doug	Hintz	Sarah	Roberts	Kelli
Bertuccelli	Jenna	Hirst	Lisa	Roble	Chris
Best	Robert	Hoagland	David	Robydek	David
Bickert	Steve	Hodgson	Deirdre	Roderick	Christina
Bilby	Mike	Hoffman	Herb	Rogers	Chris
Birchfield	Mona	Hogan	David	Rohen	Jennifer
Bishop	Rob	Holm	Donna	Rohrs	Kevin
Bjerke	Ryan	Holt	Gary	Rolfes	Grant
Blackburn	Margaret	Holthaus	Corey	Romanelli	Nick
Bland	Clayton	Hopkins	Noel	Romes	Randall
Blasiman	Greg	Host	Douglas	Rosario	Omar
Bliss	Cassandra	Houle	Kristen	Rose	Kyle
Block	Kelly	Houser	Cindy	Rose	Ryan
Bluhm	Christie	Houston	Dana	Ross	Lindsay
Blumetti	Tom	Hoverman	Matthew	Ross	Thure
Bobek	Bob	Howard	Patti	Rossi	Autumn
Bock	Jack	Howe	Victor	Roth	Adam
Boddy	Wendi	Howell	Nathan	Roush	Toren
Bodenschatz- Doty	Donna	Howell	Misty	Roy	Beau
Bodily	Kent	Hozza	Denise	Rudolph	Jin
Boehm	Jordan	Huang	Lili	Ruffolo	Craig J.
Boelter	Korey	Huberman	Alan	Rumbaoa	Jaime
Boettcher	Bradley	Hudson	Steven	Russell	Jana
Boggs	Crystal	Huff	Rick	Rutigliano	Dan
Boland	Jill	Hughes	John	Rutledge	Cory
Bollig	Robert	Hughes	Jon	Ryan	Bob
Bollman	Andy	Huisman	Trevor	Rybicki	Jack
Bone	Matthew	Hunt	Matt	Rypina	Kate
Bonick	Jeff	Hunter	Wayne	Ryssel	Lisa

Last Name	First Name	Last Name	First Name	Last Name	First Name
Bonomo	Emily	Hurin	Roy	Saavedra	Ron
Bookwalter	Sam	Huss	Andy	Sabo	Susan
Borchardt	Matthew	Huxford	J.T.	Saeed	Zina
Bortz	Erica	Huybrechts	Jessa	Saggese	Ken
Bosco	Dean	Irvin	Tim	Saikrishnan	Latha
Bosco	Jill	Jackson	Hanna	Saintyl	Jean
Bourk	Adam	Jackson Hardy	Kelly	Santarella	Ryan
Bowden	Deana	Jacobson	Dave	Santomaggio	Thomas
Bowers	Kyle	James	McKenna	Sattler	Jay
Bowes	Pat	Jandreau	Julissa	Scaffido	David
Bowman	Chrissy	Janke	Chad	Schahl	David
Bowman	Marcus	Jasion	Adele	Schaitz	Stacey
Boyd	Leslie	Jastrzebski	Kathy	Scheid	Cindy
Boyer	Caroline	Jeans	Lisa	Scherer	Brenda
Brady	Andrea	Jensen	Tommy	Schiffler	Suzanne
Brazzale	Mike	Joda	Ann	Schiller	Darcee
Breckenridge	Brent	Johansson	Jessica	Schiltz	Steve
Breen	Barb	Johns	Michael	Schirger	Joe
Bregman	Russell	Johnson	Wendy	Schleper	Adam
Bridgman	Scott	Johnson	Monica	Schmidley IV	Will
Briggs	Heather	Johnson	Matt	Schmidt	Lance
Briley	Michael	Johnson	Steve	Schmidt	Alicia
Brinckerhoff	Lee	Johnson	Stephanie	Schmidt	Kristin
Britten	Michael	Johnson	Lisa	Schneider	Justin
Brody	Seth	Johnson	Tyler	Schneider	Stephen
Broten	Karlye	Johnson	Lisa	Schneider	Stan
Brown	Allison	Jones	Molly	Schrader	Dan
Brown	Wayne	Jones	Anna	Schreiner	Andy
Brown	Jamie	Jostes	Mark	Schwarz	Dan
Brown	Jarrad	Joyce	Mark	Schweigel	Cathy
Brown	Michael	Juedes	Mark	Scott	Bill
Brown	Nancy	Juergensen	Joshua	Search	Christi
Brown	Alex	Jurczik	Michael	Sehgal	Aneesh
Brubaker	Scott	Jurgens	Larry	Self	Brandt
Bruce	Chad	Just	Christelle	Sellner	Jeff
Bruneau	Alex	Kaden	Monica	Senger	Paul
Buelow	Dennis	Kahn	Nancy	Servais	Jeff
Buffie	Brian	Kahn	Andy	Seward	Mitch
Bukouricz	Debbie	Kalarovich	Adam	Sexton	Kira
Burby	Austin	Kalinowsky	Teresa	Shafer	Karen
Burke	Joe	Kane	Cathy	Shah	Purvi
Burkholder	Jen	Karam	Yassir	Sharma	Mrudul
Burns	Michael	Karnitz	Justin	Shelton	Kate
Burton	Mike	Karolewski	Michael	Sherbakov	Roman

Last Name	First Name	Last Name	First Name	Last Name	First Name
Bushman	Patrick	Kask	Joseph	Sherburne	Josh
Bushnell	Brett	Kay III	Tripp	Sherdel	David
Bushong	Jean	Kean	Michael	Sherwood	Jonathan
Busler	Ray	Keeley	Robert	Shlapack	Liz
Butz	Abby	Kehr	Becky	Shook	Nicole
Buytaert	Dale	Kekstas	Melinda	Short	Mary
Cadle	Carey	Kelley	Keith	Siebersma	Colby
Caelwaerts	Jake	Kenney	Laura	Siebert	Jeremy
Cagle	Clarke	Kerfeld	Katelyn	Siegel	Michael
Cahill	Ben	Kesaraju	Lakshmi	Sieh	Elissa
Cairns	Kevin	Kessler	Chris	Sigelman	Jill
Caldwell	Steven	Kiel	Chris	Silbernagel	Lacey
Callahan	Tyler	Kienholz Seevers	Kelly	Simkanich	Bryan
Callanan	Bob	Kilpatrick	Karen	Simpson	Sharla
Callewaert	Melanie	Kim	Hae-Jeong	Skie	Erik
Campbell	Amanda	Kim	Joseph	Skretta	Mike
Campbell	Bob	Kim	James	Slavik	Mike
Capistrano	Sean	King	Laura	Slife	Allison
Capodanno	Dave	Kirby	Dan	Small	Shannon
Cappellucci	Robert	Kirschner	Eric	Smiley	Matt
Capps	Wryan	Kjelstad	Sam	Smith	Channing
Caputo	Marie	Kleist	Brian	Smith	Mike
Cardinet	Preston	Klemme	Philip	Smith	Geoffrey
Carey	Michael	Klitsch	Scott	Smith	Layton
Carey	Ed	Knight	Brandon	Smith	Patrick
Carlson	Paul	Knight	Beth	Snider	Russell
Carlson	Dan	Knopik	Christopher	Sobek	Phil
Carlson	Erica	Kolbe	Corey	Sobel	Alan
Carranco	Joshua	Kolson	Timothy	Sohns	Andrea
Carroll	Joan	Komisar	Andy	Solley	Caleb
Carroll	Jason	Kopshinsky	Daniel	Sonnenberg	Ryan
Carta	Santo	Kotarba	Dana	Sosko	Doug
Carter	Marianne	Kotridis	George	Southworth	Bruce
Caserta	Daniele	Kowalski	Joe	Spanier	Mary
Casey	Amanda	Kramer	Charles	Spargo	Bryan
Cassan	Jamie	Krchnak	Scott	Sparks	Erin
Castro	Raquel	Kreiser	Jim	Sparks	Darren
Caughey	Robert	Krueger	Rick	Specht	Lori
Cavanagh	Matt	Kunze	Chad	Spence	Marni
Cerretti	Alistair	Kurek	Cassie	Spradlin	Jane
Cerrillo	Joe	Kurth	Andrea	Sprang	Todd
Cha	Vivian	Kurvers	Brendan	Springsteen	David
Chalmers	Michele	Kyler	Brianna	Stanley	Daniel
Chapman	Amy	Laabs	Bryan	Stanz	Chris

Last Name	First Name	Last Name	First Name	Last Name	First Name
Chatelain	Caryn	Labant	Melissa	Steck	Michelle
Chen	April	Labbe	Kristen	Stein	Marshall
Cheney	Jed	LaCrosse	Allen	Steiner	Jim
Cherkas	Nick	Lagoe	Barbara	Steiner	Todd
Chiariello	Angel	Laham	John	Steinhoff	Chris
Childers	Timothy	Lambert	Emily	Stelzer	Gail
Choisser	Amber	Lang	Matthew	Stephens	Mike
Christian	Andrew	Lanin	Heidi	Sternhagen	Scott
Chuck	Kyle	Lankford	Christopher	Stewart	Megan
Cieslak	Ric	Lapp	Ginny	Stillwagner	Jeanette
Cigelnik	Samuel	Lappe	Kalee	Stoess	Caroline
Clark	Jared	Lapworth	Jonathan	Stokes	Todd
Clarke	Cathy	Larsen	Dan	Stopka	Teresa
Clarkson	James H	Larsh	Matt	Stoub	Jeff
Claver	Jennie	Lasecki	Leah	Stover	Lisa
Clymer	Shelby	Lassen	Chad	Stradley	Anca
Clysdale	Lori	<b>Last Name</b>	<b>First Name</b>	Strate	Brittany Stern
Coates	David	Lattimer	Andrew	Straulin	Jay
Cobia	David	Lau	Will	Stretten	Diann
Cochetti	Gina	Laubach	Melissa	Strnad	Brian
Codrington	Janice	Laugtug	Dave	Struck	Melissa
Coffman	Jon	Le Roux	Seef	Strusz	Ryan
Cohen	Jill	Leary	Jen	Sturtevant	Trey
Colclasure	Lee	LeChevallier	John Paul	Suchko	Celeste
Coleman	Greg	Leder	Kevin	Suda	Chris
Coleman	Jennifer	Lee	Rob	Sullivan	Brendan
Coleman	Crystal	Lee	Andy	Supelak	David
Collidge	Qasim	Lee	Nicole	Supinski	Anita
Colsch	Karen	Lehan	Matt	Sussman	Barry
Colvin	Mark	Lehman	Tori	Svenby	Brad
Combs	Steven	Leiter	Karen	Swain	Bert
Concannon	Luzmaria	Leith	Dave	Swatt	Kevin
Conklin	Dawn	LeMay	Kelley	Swenson	Tyler
Conley	Craig	Lembcke	Adam	Swindell	Ann
Connell	Mike	Lemon	Michael	Switajewski	Tony
Connor	Tara	Lenell	Jake	Sykora	Amanda
Connors	Ashley	Lenzi	Jennifer	Sylvan	Ian
Cook	Liz	Lepore	Andrew	Tarzia	William
Cook	Jennifer	Levy	Jeffrey	Tatro	Heidi
Cook	Sandy	Lewis	Jamie	Tauer	John
Cooke	Kevin	Lewis	Amanda	Taylor	Glenn
Cooper	Amy	Lewis	Barbara	Taylor	Stephen
Coots	Chris	Li	Agatha	Taylor	Greg
Coppersmith	Steve	Lillesand	Terri	Taylor	Larry

Last Name	First Name	Last Name	First Name	Last Name	First Name
Corliss	Jennifer	Lind	Tyler	Taylor	Chuck
Correia	Jason	Lind	Thomas	Taylor	Jacob
Costigliolo	Silvia	Ling	Edwin	Terhaar	Brent
Coty	Elyse	Link	Jeffrey	Ternes	David
Coveney	Jerry	Link	Ann	Theis	Kari
Cox	Kevin	Lipkin	Adam	Thelen	Greg
Crain	Erica	Litan	Lori	Theuninck	Ben
Crall	Aaron	Little	David	Thiel	Amber
Craver	Jen	Lively	Scott	Thieret	Dan
Cressman	Ron	Lo	Karen	Thies	Kathleen
Crocker	Doug	Loberg	Don	Thomas	John
Croghan	Brent	Lochbihler	Ben	Thompson	Michael
Cromwell	Laurie	Locker	Jessica	Thomsen	Darel
Crouch	Gary	Loeffler	Tim	Thomsen	David
Crouch	Timothy	Loest	Nate	Thordarson	Cora
Crowley	Alisha	Loftus	Peter	Thorstenson	Sean
Crowley	Shannon	Loftus	Christian	Thoune	Rebecca
Cummings	Mark	Logan	Nathan	Thro	Jacques
Curtiss	Mary Kay	Lombardo	Jennifer	Tigue	Penelope
Cushman	Lisa	Loughery	Bill	Timcke	Lindsay
Dahlke	Kevin	Lounsbery	Tyler	Timmermann	Linda
Dalbey	Mark	Lourens	Spencer	Tingley	Jennifer
Dam	Thuy	Loya	Brian	Tomalty	Kevin
Danielski	Amber	Lucciola	Ellen	Toohey	Anne
Davidson	Keith	Lucido	Anthony	Toone	Brad
Davila	Michon	Ludin	Joe	Toscano	Kris
Davis	Erin	Lynch	Patrick	Toscano	John
Davister	Jamie	Lyons	Heather	Totagamuwa	Sahan
Dawley	Kyle	MacDonald	Ryan	Tran	Traci
De Prima	Michael	MacDonald	Brian	Traut	Aaron
Dearnley	Thomas	Magouirk	Danielle	Trautman	Jon
DeBross	Max	Mahmood	Rachel	Treinen	Paul
DeBroux	Mark	Mahoney	Tim	Trelstad	Courtney
DeBruyne	Derrick	Mahoney	Ashley	Triolo	Jay
Decosimo	Julien	Makwana	Manesh	Trittschuh	Mark
Deindoerfer	Todd	Malabanan	Liezl	Truhler	Keven
Del Gavio	Tara	Maleck	Wade	Tucker	Leigh
Delcomyn-Javarinis	Kimberly	Mallory	Marc	Tumanyan	Gaia
Delgado	Kyla	Manderfield	Chris	Tweeten	Lindsay
Delvecchio	Brian	Mani	Kirithi	Ulrich	Taylor
Dempsey	Eileen	Mann	James	Ung	Michael
Dennis	Tayiika	Marasco	Vincent	Urkoski	Matt
Denslow	Denise	Marchetti	Peter	Uy Dacuycuy	Catherine
DeStigter	Byron	Marcus-Schembri	Laura	Valaisa	Paul

Last Name	First Name	Last Name	First Name	Last Name	First Name
DeVane	Courtney	Markert	Stephanie	Valdez	Airam
Deveraux	Emilie	Marleaux	Evan	Valente	Jenn
Devereaux	James	Marshall	Jamie-Lyn	Vallero	Amanda
Devoto	Tim	Marshall	Donald	Vance	Mark
DeWerff	Cody	Martens	Jose	VandenHogen	Mike
Deyle	Daniel	Martin	Chris	Vansuch	Laura
Dhanraj	Christopher	Martin	Lisa	Vargo	Liz
Dial	Randie	Martin	Brandon	Vargosko	Nick
Diederich	Mark	Martin	Ross	Vatsaas	Kelsey
Dierker	Timothy	Martinez	Lupita	Vehrs	Amy
Dietrich	Jean	Mateas	Brian	Veit	Ginny
Dietzen	Nick	Mathew	Abe	Vicars	Buck
Dietzen	J.D.	Matthews	Reed	Villanueva	Kevin
DiMenna	Christine	Matts	Jared	Villecco	Steve
DiNatale	Nathan	Mattson	Brad	Vojtisek	Rick
Disrud	Curtis	Mauch	David	Von Rotz	Mark
Dobbins	William	Mauszycki	Rod	Vosberg	Karine
Domanico	Eileen	McCann	Emily	Vrieze	Jeff
Domeyer	Drew	McCarty	Shanie	Waech	Jordan
Dominguez	Dunie	McClellan	Alisha	Walbridge	Brittany
Donnell	Chris	McDonald	Ryan	Walker	Mark
D'Orazio	Brian	McDonald	Stephanie	Walker	Robby
Dormanesh	Bobby	McDowell	Theresa	Walker	Sean
Dorn	John	McGarvey	Darryn	Walker	Jeff
Dorr	Diane	McGinn	Kevin	Wallis	Amanda
Dosen	Sara	McGoldrick	Shawn	Walters	Melissa
Doughan	Kirstin	McHenry	Alexandra	Walton	Christopher
Douglas	Matt	McHugh	James	Wanek	Brian
Downs	Sara	McKay	Sam	Wang	Sharey
Doyle	Sara	Mckenzie	Mario	Ward	Leslie
Drewieske	Amber	McKibban	Brian	Warner	Alex
Drish	Joe	McMillon	Jerry	Warner	Brent
Duda	Joseph	McMullen	Wade	Warner	Joshua
Duda	Gwendolyn	McQueen	Kenlyn	Warren	Carrie
Duffner	Jason	McVay	Yvonne	Warren	Timothy
Duncan	Barbara	Meacham	Marietta	Watson	James
Dunscombe	Matthew	Mead	Michael	Watson	Doug
Dye	Jocie	Measley	Justin	Watson	Sarah
Dzyak	Josh	Medeiros	Adriana	Watson	Mike
Early	Bill	Medgaarden	Tanya	Watson	Sharon
Ebersold	Luke	Meidel	Mike	Watts	Chapman
Eckman	Jackie	Meinholz	Jon	Waweru	Caroline
Eiden	Kevin	Melzarek	John	Wawrzynek	Heath
Emerson	Deb	Mercedes	Rosario	Wawrzynek	Teresa

Last Name	First Name	Last Name	First Name	Last Name	First Name
Emilio	Brandon	Merchant	Mandy	Weal	Cheryle
Engebretson	Ryan	Merryman	Ryan	Weaver	Jaimee
Engelbrecht	Scott	Metcalf	Samantha	Webb	Alan
Engelsma	Brent	Metzler	Greg	Weber	David
Enger	Josh	Meyer	Brad	Weetman	Donna
Enverso	Brian	Meyer	Stacy	Wehman	Dustin
Erb	Christy	Meyer	Nicholas	Weintraub	Jeff
Erickson	Pat	Meyer	Becky	Wellen	Kevin
Eschle	AJ	Mickels	Erin	Wells	Doug
Eshleman	Joel	Mickelson	Brandon	Wencl	Brittany
Estep	Dee	Milardo	Joe	Wendlandt	Miranda
Estes	Stephen	Miles	Ken	Werlhof	John
Etheridge	Todd	Millar	Paul	Westberg	Paul
Evans	Derek	Miller	Fred	Westervelt	Mike
Fagan	Jonathan	Miller	Greg	Weston	Jon
Falk	Robert	Miller	Gaby	Wetsel	Emily
Fast	Trenton	Miller	Glenn	Wey	Tony
Faust	Jenna	Miller	Tylan	Whalen	Gretchen
Feltz	Jason	Miller	Stephen	Whaley	Bruce
Fenlon	Mark	Miller	Jordan	Wheeler	Pamela
Fenstermaker	Lori	Miller	Gail	Wheeler	Shane
Ferguson	Heidi	Milliken	Jim	Wheeler	Hope
Field	Rebecca	Minassian	Eliza	White	David
Fink	Jonathan	Mistry	Deepak	White	Mike
Finkelstein	Cheryl	Mizerniuc	Alina	White	Kevin
Finkle	Doug	Mleziva	Adam	White	Alexander
Finnegan	Stephanie	Mogensen	Bryan	Whiting	Travis
Fiore	Larry	Mogensen	Jess	Whitman	Ryan
Fischer	Shawn	Moore	Amy	Wieland	Sylvia
Fisher	Sarah	Moore	William	Wiens	Keegan
Fisher	Joy	Morales	Maria	Wiese	Brianne
Fitch	Aimee	Morgan	Julie	Wieser	Keith
Fitzgerald	Jeff	Morris	Jenny	Wigginton	Joyce
Flanders	Rachel	Morrison	Sean	Wilby	Jen
Flasher	Matthew	Morton	Rance	Wilkerson	Walker
Flattum	Jason	Morton	Chris	Wilks	Josh
Flint	David	Moss	Chris	Williams	Allen
Floyd	Derek	Moy	Virginia	Williams	Curtis
Fly	Mark	Moya	David	Williams	Robert
Fondo	Amy	Muehler	Tim	Williams	Jilaine
Fontes	David	Mueller II	Ted	Williamson	Kyle
Ford	Mary	Mugerauer	Andy	Willott	Rich
Ford	Jena	Mulberg	Chuck	Wilson	Emily
Forehand	Whit	Mullen	Theresa	Wilson	Paul

Last Name	First Name	Last Name	First Name	Last Name	First Name
Foreman	Drew	Mullenbach	Darrel	Wilson	Seth
Forman	David	Mullin	Kevin	Wilson	Chastity
Forseth	Jacob	Mulloy	Michelle	Winans	Matt
Fowler	Justin	Muniz	Brad	Winkelman	Matt
Fowler	Julie	Munn	James	Winkler	Eric
Fox	Brittney	Munoz	Daphnie	Winter	Luke
Fox	Amy	Murphy	Melissa	Wise	Jenny
Fracas	Lyell	Murray	Drew	Wiser	Joseph
Frank	Brad	Murrey	James	Wisnicki	Miryam
Frea	Dylan	Naboralski	Katie	Witt	James
Freeman	Clinton	Nayyar	Mohit	Witt	Nathan
Frein	Dan	Neptin	Nick	Witts	Jennifer
French	Steve	Ness	Pat	Wochos	Jane
Friedman	Joanna	Netek	Randy	Wocken	Matthew
Friend	John	Nettelblatt	Dane	Wolf	Dan
Friese	Cassandra	Neumann	Jason	Wolf	Dan
Fuist	Patrick	Niedermuller	Paul	Wong	Rebecca
Fuller	Stephen	Nielsen	Nick	Wood	Michelle
Fung	Tiffany	Nielsen-Zoromski	Tricia	Wottreng	Chad
Gaarder	Terrie	Nieman	Chris	Wright	Caroline
Gaieski	Matt	Niemann	Ashley	Wyzgowski	Mark
Gale	Karin	Nihill	Danielle	Yant	Brad
Garcia	Bryan	Nimens	Ty	Yarrington	Dawn
Gariss	Troy	Nimens	Chloe	Yearwood	Tammie
Gartman	Ryan	Nohr	Adrian	Yoder	Melissa
Gasch	Sarah	Nolan	Mike	Yoo	Jenny
Geehreng	Daniel	Nordby	Thomas	York	Hannah
Germanese	Crystal	Nordstrom	Eric	Young	Melissa
Gerrits	Melissa	Nunes	Jho	Young	Javier
Geyen	Brock	Nunez	Melissa	Zahn	Daros
Giacalone	Cary	Nuttman	John	Zahn	Brian
Giallongo	Chris	O'Leary	Rachael	Zarney	Olga
Giardini	Frank	Olivier	Jennifer	Zebell	Andrew
		Olson	Evelyn	Zelinski	Stacey
				Zeller	Chad
				Zimmerman	Justin
				Zinno	John
				Ziplow	Jeffrey I
				Zipperer	Seth
				Zlot	Lawrence
				Zoll	Leslie
				Zuengler	Rob
				Zuleger	Mike

**EXHIBIT C – DISCLOSURE OF RETAINED PARTIES  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## EXHIBIT C – DISCLOSURE OF RETAINED PARTIES

**Definitions and Disclosure Requirements**

As used herein, "Consultant" means a person or entity who has any contract with the Public Building Commission of Chicago ("Commission").

Commission bids, contracts, and/or qualification submissions must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.

"Lobbyists" means any person who (a) for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

**Certification**

Consultant hereby certifies as follows: No retained parties.

This Disclosure relates to the following transaction(s):

Services agreement, RFP PS3101

Description or goods or services to be provided under Contract:

Audit services

Name of Consultant: CliftonLarsonAllen LLP (CLA)

**EACH AND EVERY** lobbyist retained or anticipated to be retained by the Consultant with respect to or in connection with the contract listed below. Attach additional pages if necessary.

Retained Parties:

Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate total whether paid or estimated)
(none)			

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained

The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction; terminate the Consultant's participation in the contract or other transactions with the Commission.



**EXHIBIT D – SPECIAL CONDITIONS REGARDING THE UTILIZATION  
OF MBE AND WBE FIRMS  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

# EXHIBIT D

## Special Conditions Regarding the Utilization Of Minority and Women Owned Business Enterprises for Professional Services

### 1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

### 2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to certified MBEs and 5% of the annual dollar value of all Commission Construction Contracts to qualified WBEs.
- b. The contract specific goal for MBE/WBE participation is a minimum of 30% MBE/WBE. This goal may be met by participation of a MBE firm, WBE firm, or a combination of both.
- c. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification..
- d. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

### 3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago or County of Cook.
  - (3) "Construction Contract" means a contract for the construction, repair, alteration, renovation or improvement of any building, facility or other structure.
  - (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform any anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
  - (5) "Contractor" means any person or business entity that seeks to enter into a Construction Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.
  - (6) "Established Business" means a person or entity granted certification by the City of Chicago.

- (7) "Executive Director" means the Executive Director of the Commission or his/her duly designated representative as appointed in writing.
- (8) "Good faith efforts" means actions undertaken by a Contractor to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- (9) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.
- (10) "Participating Established Business" means an established business which is eligible to participate in the minority- and women-owned business enterprise program set forth in Section 8 below.
- (11) "Professional Service Provider" means any person or business entity that seeks to enter into Professional Service Contract with the Commission and includes all partners affiliates, and joint ventures of such person or entity.
- (12) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.

#### 4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same sub-consultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- c. A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
  - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
  - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.
- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).

- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

5. Submission of Proposals

- a. The following schedules and documents constitute the Proposer's MBE/WBE compliance proposal and must be submitted at the time of the proposal.
  - (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC accepts certification by the City of Chicago, and County of Cook.
  - (2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Proposer's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
  - (3) Schedule C: Letter of Intent to Perform as a sub-consultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
  - (4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.
- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

6. Evaluation of Compliance Proposals

- a. The Proposer's MBE/WBE compliance proposal will be evaluated by the Commission. The Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his / her designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as non-responsive by reason of the determination that the Proposer's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.
- b. If the Commission's review of a Proposer's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Proposer's proposal as non-responsive.
- c. Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Proposer's MBE/WBE compliance proposal. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 should be followed.
- d. If the Compliance Proposal includes participation by material suppliers, the PBC will request copies of the offers from such suppliers. The offers must be furnished to the PBC within three (3) business days of the bidder's receipt of the request for such offers from the PBC. The PBC may make such request by electronic mail. The offers must specify: (i) the particular materials, equipment and/or supplies that will be furnished; (ii) the supplier's price for each of the items; (iii)

the total price of the items to be furnished by the supplier, (iv) the supplier's source for the items (e.g., manufacturer, wholesaler) and (v) the subcontractor that the supplies will be purchased by.

7. Request for Waiver

- a. If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Proposer's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- b. Good Faith efforts to achieve participation include but are not limited to:
  - (1) Attendance at the Pre-bid conference;
  - (2) Solicit certified MBE and WBE firms. Soliciting through reasonable and available means at least 50% of MBE and WBE firms certified in the anticipated scope(s) of work.
  - (3) The Bidder's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - (4) Advertise the contract opportunity in trade association newsletters, other media, and/or venues oriented toward and minority and woman-oriented;
  - (5) Timely notification (at least seven (7) days in advance of the bid due date) of specific sub-bid opportunities must be made to MBE and WBE firms and corresponding assistance agencies/ associations;
  - (6) Provide interested MBE and WBE firms with adequate information regarding the plans, specifications, and contract requirements in a timely manner;
  - (7) Make efforts to assist interested MBE and WBE firms in obtaining bonding, lines of credit, or insurance;
  - (8) Make efforts to assist interested MBE and WBE firms in obtaining necessary equipment, supplies, materials, or related assistance/services;
  - (9) Effectively use the services of the City; minority or women community organizations/assistance groups, and other organizations to provide assistance in the recruitment and placement of MBE and WBE firms.
  - (10) Negotiate in good faith with interested MBE/WBE firms and provide a description of direct negotiations with MBE and WBE firms for specific sub-bids, including:
    - i. The name, address and telephone number of MBE and WBE firms contacted;
    - ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - (11) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation;
  - (12) Decision to reject MBE and WBE firms deemed unqualified must be sound and based on a thorough investigation of firms capabilities. As to each MBE and WBE contacted which the Bidder considers to be not qualified, a detailed statement of the reasons for the Bidder's conclusion;
  - (13) Efforts made by the Bidder to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
  - (14) Must take appropriate, documented steps to follow up initial solicitations with interested MBE and WBE firms.
  - (15) General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Bidder, may grant a waiver request upon the determination that:
  - (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Bidder;

- (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

8. Established Business Participation in the MBE and WBE Procurement Program

- a. A local business entity which meets all the requirements to be certified as an MBE or WBE under this article except that it has become an established business may participate in the minority- and women-owned business enterprise program as follows:
  - (1) For a one-year period after the business entity has become an established business, only 75 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4;
  - (2) For a one-year period starting on the one-year anniversary of the date the business entity became an established business, only 50 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4.
  - (3) For a one-year period starting on the two-year anniversary of the date the business entity became an established business, only 25 percent of such business's participation in the Contract shall account for the MBE or WBE, as applicable, participation requirement set forth in Section 4.
- b. An Establish Business entity shall not be eligible to participate in the minority- and women-owned business enterprise procurement program starting on the three-year anniversary of the date the business entity became an established business.

9. Failure To Achieve Goals

- a. If the Contractor cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the contractor has made such good faith efforts, the performance of other contractors in meeting the goals may be considered. The Executive Director shall consider, at a minimum, the Contractor's efforts to do the following:
  - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted bids. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a contractor's failure to meet the goals, as long as such costs are reasonable.
  - (4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting bids to meet the goals.
  - (5) Making a portion of the work available to MBE or WBE subcontractors and suppliers and to select those portions of the work or material consistent with the available MBE or WBE subcontractors and suppliers, so as to facilitate meeting the goals.
  - (6) Making good faith efforts despite the ability or desire of a Contractor to perform the work of a contract with its own organization. A Contractor that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
  - (7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.

- (8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Contractor.
  - (9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
  - (10) Effectively using the services of the Commission; minority or women community organizations; minority or women contractors' groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission Procurement Officer determines that the Contractor did not make a good faith effort to achieve the goals, the Contractor may file a Dispute to the Executive Director as provided in the Section on Disputes.

#### 10. Reporting and Record-Keeping Requirements

- a. The Professional Service Provider, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final waivers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.
- b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.
- c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE Sub-Contract Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to the MBE and WBE sub-contractors.

#### 11. Disqualification of MBE or WBE

- a. The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.
- b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

#### 12. Prohibition On Changes To MBE/WBE Commitments

- a. The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

#### 13. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Contractor of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Contractor shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Contractor of

prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:

- (1) If needed and in order to sustain the fulfillment of the MBE/WBE contract requirements, the Contractor must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work.
- (2) The Contractor's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) unavailability after receipt of reasonable Notice to Proceed; b) failure of performance; c) financial incapacity; d) refusal by the subcontractor to honor the bid or proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the subcontractor to meet insurance, licensing or bonding requirements; g) the subcontractor's withdrawal of its bid or proposal; or h) decertification of the subcontractor as MBE or WBE.
- (3) The Contractor's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Contractor; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.
- (4) The Contractor's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms, as enumerated above in Section on Submission of Bid Proposals.
- (5) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) business days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
- (6) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) business days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
  - i. The Executive Director will not approve extra payment for escalated costs incurred by the Contractor when a substitution of subcontractors becomes necessary for the Contractor in order to comply with MBE/WBE contract requirements.
  - ii. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Contractor to locate specific firms, solicit MBE and WBE bids, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

#### 14. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

15. Severability

- a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any court, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.

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**SCHEDULE C**

**Letter of Intent from MBE/WBE (1 of 2)  
To Perform As  
Subcontractor, Subconsultant, and/or Material Supplier**

Name of Project: Request for Proposals for Audit Services

Project Number: PS3101

FROM:

Prado & Renteria MBE  WBE   
(Name of MBE or WBE)

TO:

CliftonLarsonAllen LLP (CLA) and Public Building Commission of Chicago  
(Name of Bidder)

The undersigned intends to perform work in connection with the above-referenced project as (check one):

a Sole Proprietor                       a Corporation  
 a Partnership                               a Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated April 15, 2025.  
In addition, in the case where the undersigned is a Joint Venture with a non-MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.

Audit support  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.

\$49,000  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE C**  
**Letter of Intent from MBE/WBE (2 of 2)**  
**To Perform As**  
**Subcontractor, Subconsultant, and/or Material Supplier**

**PARTIAL PAY ITEMS**

For any of the above items that are partial pay items, specifically describe the work and subcontract dollar amount:

Not applicable.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheet(s).

**SUB-SUBCONTRACTING LEVELS**

0 % of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors.  
0 % of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors.

If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% percent of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided.

The Undersigned (Contractor) will enter into a formal agreement for the above work with the Bidder, conditioned upon its execution of a contract with the Public Building Commission of Chicago, and will do so within five (5) working days of receipt of a notice of Contract award from the Commission.

Additionally, the Undersigned certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Agency requirements and have not violated any City or Sister Agency policy, codes, state, federal or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the Contractor becomes aware of such information, it must immediately disclose it to the Commission.

BY:

Prado & Renteria  
Name of MBE/WBE Firm (Print)  
8/8/25  
Date  
312-788-1434  
Phone

  
Signature  
Maria de J. Prado, CPA  
Name (Print)

IF APPLICABLE: (not applicable)

BY:

\_\_\_\_\_  
Joint Venture Partner (Print)  
\_\_\_\_\_  
Date  
\_\_\_\_\_  
Phone

\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Name (Print)  
MBE \_\_\_ WBE \_\_\_ Non-MBE/WBE \_\_\_



**COOK COUNTY**  
OFFICE OF THE  
Chief Procurement  
Officer

161 N. Clark  
Suite 2300  
Chicago, Illinois 60601

April 15, 2025

Maria Prado, President  
Prado & Renteria CPA's Prof. Corp.  
1837 South Michigan Avenue  
Chicago, IL 60616

**Annual Certification Renewal: January 5, 2026**

Dear Ms. Prado:

Congratulations on your continued eligibility for certification as a **Minority Business Enterprise (MBE) and Women Business Enterprise (WBE)** by Cook County Government. This certification does not expire; however, you must re-validate your firm's certification annually.

In the past, we have provided annual letters, this practice will no longer continue given that your firm will remain certified indefinitely based on your compliance to programmatic requirements. Please refer to this letter, the Vendor Directory, and your account dashboard for evidence of certification.

As a condition of continued Certification, you must file a No Change Affidavit within **ninety (90) calendar days prior** to the date of the annual renewal. Failure to file this affidavit may result in the removal of your Certification. You must notify Cook County's Office of the Chief Procurement Officer of any change in ownership or control or any other matters or facts affecting your firm's eligibility for Certification within **ten (10) calendar days** of such change.

Cook County Government may commence action to remove your firm as a certified vendor if you fail to notify us of any changes of facts affecting your firm's Certification, fails to submit annual renewals, or if your firm otherwise fails to cooperate with the County in any inquiry or investigation. Removal of your status may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

This firm is Certified under the following commodity codes/area(s) of specialty:

**NAICS Code:**

**NAICS 541211: Certified Public Accountants'**

**NAICS 541611: Administrative Management and General Management Consulting Services**

**NAICS 541618: Utilities Management Consulting Services**

[www.cookcountyil.gov](http://www.cookcountyil.gov)

Your firm's participation on Cook County contracts will be credited toward **Minority Business Enterprise (MBE) and Women Business Enterprise (WBE)** goals in your area(s) of specialty. While your participation on Cook County contracts is not limited to your specialty, credit toward **Minority Business Enterprise (MBE) and Women Business Enterprise (WBE)** goals will be given only for work done in the specialty category.

Thank you for your continued interest in Cook County Government's Minority, Women, Veteran, Service-Disabled Veteran, and Persons with Disabilities Business Enterprise Programs.

Sincerely,  
Certification Division

**SCHEDULE D**

**Affidavit of Professional Service Provider Regarding MBE/WBE Participation (1 of 2)**

Name of Project: RFP PS3101 Audit Services

STATE OF ILLINOIS     }  
   } SS  
 COUNTY OF COOK       }

In connection with the above-captioned contract, I HEREBY DECLARE AND AFFIRM that I am the  
Principal

Title and duly authorized representative of  
CliftonLarsonAllen LLP (CLA)

Name of Professional Service Provider whose address is  
1 North Franklin Street, Suite 1100

in the City of Chicago, State of Illinois

and that I have personally reviewed the material and facts submitted with the attached Schedules of MBE/WBE participation in the above-referenced Contract, including Schedule C and Schedule B (if applicable), and the following is a statement of the extent to which MBE/WBE firms will participate in this Contract if awarded to this firm as the Contractor for the Project.

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals	
		MBE	WBE
Prado & Renteria	Audit support	\$ 49,000	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
		\$	\$
<b>Total Net MBE/WBE Credit</b>		<b>\$ 49,000</b>	<b>\$ 0</b>
<b>Percent of Total Base Bid</b>		<b>35 %</b>	<b>0 %</b>

The Prime may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

**SCHEDULE D**

**Affidavit of Professional Service Provider Regarding MBE/WBE Participation (2 of 2)**

The Undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Contractor of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission.

Additionally, the Undersigned certifies to the best of its knowledge and belief that it, its principals and any subcontractors used in the performance of this contract, meet the Agency requirements and have not violated any City or Sister Agency policy, codes, state, federal or local laws, rules or regulations and have not been subject to any debarment, suspension or other disciplinary action by any government agency. Additionally, if at any time the Contractor becomes aware of such information, it must immediately disclose it to the Commission.

BY:

CliftonLarsonAllen LLP (CLA)

Name of Contractor (Print)

8/11/2025

Date

309-495-8767

Phone

IF APPLICABLE:

BY:

(not applicable)

Joint Venture Partner (Print)

Date

\_\_\_\_\_

Signed by  
*Adam Pulley*

Signature

Adam Pulley, CPA, Principal

Name (Print)

Signature

Name (Print)

MBE \_\_\_ WBE \_\_\_ Non-MBE/WBE \_\_\_

**EXHIBIT E – INSURANCE REQUIREMENTS  
AUDIT SERVICES**

**(ATTACHED HERETO AND INCORPORATED HEREIN)**

## **EXHIBIT E – AUDIT SERVICES INSURANCE REQUIREMENTS**

The Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the insurance coverage and requirements specified below, insuring all operations related to the Agreement.

### **1.0 INSURANCE TO BE PROVIDED:**

#### **1.1 Workers' Compensation and Employers Liability**

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, illness, or disease.

#### **1.2 Commercial General Liability (Primary and Umbrella)**

Commercial General Liability Insurance or equivalent with limits of not less than \$1,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include but are not limited to the following: All premises and operations, products/completed operations, defense, and contractual liability. The Public Building Commission of Chicago, City of Chicago and any other User Agency must be named as Additional Insured on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$500,000 per occurrence with the same terms herein.

#### **1.3 Automobile Liability (Primary and Umbrella)**

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$500,000 per occurrence for bodily injury and property damage. The Public Building Commission of Chicago, City of Chicago and any other User Agency must be named as Additional Insured on a primary, non-contributory basis.

#### **1.4 Professional Liability/Errors & Omissions**

When Consultant performs work in connection with the Agreement, Professional Liability Insurance must be maintained with limits of not less than \$1,000,000 covering acts, errors, or omissions. The policy will include coverage for wrongful acts, including but not limited to errors, acts or omissions, in the rendering or failure to render professional services resulting in a pollution incident. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. Coverage must be maintained for two years after substantial completion. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$500,000 per occurrence with the same terms herein.

#### **1.5 Property**

The Consultant is responsible for all loss or damage to the Public Building Commission of Chicago, City of Chicago and any other User Agency property at full replacement cost. The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools and supplies) owned, rented, or used by Consultant.

#### **1.6 Valuable Papers**

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under the Agreement, Valuable Papers Insurance will be maintained in an amount to insure against any loss whatsoever, and will have limits sufficient to pay for the re-creation and reconstruction of such records.

## 2.0 ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance policy has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the PBC prior to the Agreement award. The receipt of any certificate does not constitute agreement by the PBC that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Public Building Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the PBC of any requirements for the Consultant to obtain and maintain the specified insurance. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the PBC retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The PBC reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 30 days prior written notice to be given to the PBC if coverage is substantially changed, canceled, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance coverage must be borne by Consultant. All self-insurance, retentions and/or deductibles must conform to these requirements.

The Consultant hereby waives and agrees that their insurers waive their rights of subrogation against the Public Building Commission of Chicago, City of Chicago and any other User Agency, their respective Board members, employees, elected officials, or representatives.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a Named Insured.

The insurance coverage and limits provided by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Public Building Commission of Chicago, City of Chicago and any other User Agency do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desires additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

Consultant must submit the following at the time of award:

1. Standard ACORD form Certificate of Insurance issued to the Public Building Commission of Chicago as Certificate Holder including:
  - a. All required entities as Additional Insured
  - b. Evidence of waivers of subrogation
  - c. Evidence of primary and non-contributory status
2. All required endorsements including the CG2010

The Public Building Commission maintains the rights to modify, delete, alter or change these requirements.

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