# **PUBLIC BUILDING COMMISSION OF CHICAGO**



# **AGREEMENT**

# **CONTRACT NUMBER PS1441**

WITH

**ENVIRONMENTAL PROTECTION INDUSTRIES, INC.** 

TO PROVIDE

**GEOTECHNICAL INVESTIGATION & REPORTS** 

**FOR** 

GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL VICINITY OF 250 EAST 111TH STREET CHICAGO, ILLINOIS

**PROJECT NUMBER: 05240** 

Mayor Richard M. Daley
Chairman

Erin Lavin Cabonargi
Executive Director

Richard J. Daley Center, Room 200 50 West Washington Street Chicago, Illinois 60602 www.pbcchicago.com

#### **EXECUTION PAGE**

# GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

THIS AGREEMENT effective as of December 10, 2008, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and Environmental Protection Industries, Inc. with offices at 16650 South Canal, South Holland, Illinois (the "Consultant").

## Background Information - Recitals:

Whereas, The Commission on behalf of the City of Chicago (referred to in this Agreement as the "User Agency"), intends to undertake the construction and/or improvement of the following facility or facilities in Chicago, Illinois described in Schedule A attached to the Agreement (the "Project"):

# **GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441**

Whereas, the Commission requires certain professional services described in the Agreement, in connection with the Project and desires to retain the Consultant on the terms and conditions set forth in the Agreement to perform such Services. The Consultant desires to be so retained by the Commission and has represented to the Commission that the Consultant has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

Whereas, the Consultant has consulted with the Commission and the User Agency, made site inspections, and taken such other actions as the Consultant deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Project and the Services. The Consultant represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Project in accordance with standards of reasonable professional skill and diligence.

Whereas, the Commission has relied upon the Consultant's representations in selecting the Consultant.

NOW THEREFORE, the parties agree on the terms and conditions that follow:
SIGNED on: 12 1/2 1 3008
PUBLIC BUILDING COMMISSION OF CHICAGO  Erin Lavin Cabonargi Executive Director
ATTEST:  Date: 1410 8  Edgrick Johnson - Secretary
ENVIRONMENTAL PROTECTION INDUSTRIES, INC.:
President Date: 12/10/08
AFFIX CORPORATE SEAL, IF ANY, HERE
County of: Cook
State of:
My Commission expires: 7/15/16  (SEAL OF NOTARY)  OFFICIAL SEAL ANNE J. KILDAY NOTARY PUBLIC-STATE OF ILLINOIS MY COMMISSION EXPIRES 7/15/10

## TERMS AND CONDITIONS - ENVIRONMENTAL CONSULTING

- 1. <u>Definitions.</u> The following phrases have the same meanings for purposes of this Agreement.
- a. **Agreement** means this professional services agreement for environmental consulting services, including all exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, modifications, or revisions made in accordance with the terms hereof.
- b. Commission as herein referred to shall include the Public Building Commission of Chicago, the Commission's Chairman, Secretary, Assistant Secretary, Executive Director, Director of Construction, Managing Architect, Project Manager, or designated consultant or consultants, acting on behalf thereof, as designated by the Commission in writing, for the purpose of giving authorizations, instructions, and/or approval pursuant to this Agreement.
- c. Contract Documents consists of all of the component parts of the Contract between the Commission and the General Contractor for the construction and improvement of the Project including, without limitation, the general and special conditions, technical specifications, drawings, addenda, bulletins and modifications thereto.
- d. Consultant means the company or other entity identified in this agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of this Agreement.
- e. **Key Personnel** means those job titles and persons as identified in those positions as identified in Consultant's proposal and accepted by the Commission.
- f. Project means the construction and/or improvement of the facility or facilities specified in this Agreement.
- g. Services means, collectively, the services, duties and responsibilities that are necessary to allow the Consultant to provide the Services required by the Commission under this agreement.
- h. **Sub-consultant** means a firm hired by the Consultant to perform professional services related to the construction and/or improvement of the Project.
- i. Technical Personnel as herein referred to include partners, officers and all other personnel of the Consultant, including technical typists assigned to the Project, exclusive of general office employees.
- j. User Agency means the municipal corporation that requested the Commission to undertake the construction and/or improvement of the Project.
- 2. <u>Incorporation of Documents.</u> The documents identified below in this paragraph are hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of each of such documents and will comply fully with all applicable portions thereof in performing the Services.
- a. <u>Project Documents.</u> The plans and specifications for the Project, to the extent that plans and specifications for the Project have been prepared, as set forth and described in this Agreement (the "Project

Documents").

b. <u>Policies Concerning MBE and WBE.</u> The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

#### 3. <u>Engagement and Standards for Performing Services.</u>

- a. <u>Engagement.</u> The Commission hereby engages the Consultant, and the Consultant hereby accepts such engagement, to provide the Services described in this agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.
- b. <u>Performance Standard.</u> The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing environmental consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. If in the course of performing the Services, Consultant identifies any environmental condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant shall promptly provide notice to the Commission. The Consultant further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services in the manner required by the Agreement.
- c. <u>Consultant's Personnel</u>. Consultant must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide these copies upon request by the Commission. Consultant remains responsible for the professional and technical accuracy of all Services furnished, whether by the Consultant or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.
- d. <u>Confidentiality</u>. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times use it best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of its Services. Consultant must at all times act in the best interests of the Commission and User Agency consistent with Consultant's professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission.
- e. <u>Independent Contractor.</u> In performing the Services under this Agreement, Consultant shall at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.
- f. <u>Limitations on Sub-Consultants</u>. Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.

- g. <u>Failure to Meet Performance Standard.</u> If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.
- h. <u>Changes to the Services</u>. The Commission may from time to time, request changes to the terms of the Agreement or in the Scope of Services of the Consultant to be performed hereunder. Such changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement. The Commission shall not be liable for any changes absent such written amendment.

#### 4. <u>Duties and Obligations of Consultant</u>

- a. <u>Nondiscrimination.</u> The Consultant agrees that in performing this Agreement it shall not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. <u>Seq.</u> the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. <u>Seq.</u> the Illinois Human Rights Act 775 ILCS 5/1-101 et. <u>Seq.</u> and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, inclusive and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2004, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission and the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.
- b. Employment Procedures, Preferences and Compliances. Salaries of employees of Consultant performing work under this Agreement shall be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Consultant shall comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.
- c. <u>Compliance with Policies Concerning MBE and WBE.</u> Without limiting the generality of the requirements of the policies of the Commission referred to in paragraph 2 above, the Consultant agrees to use best efforts to utilize minority business enterprises for not less than twenty five percent (25%) for MBE and five percent (5%) for WBE of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, concerning participation of minority business enterprises and women business enterprises on contracts awarded by the Commission and to furnish to the Commission, such reports and other

information concerning compliance with such Resolution as may be requested by the Commission from time to time.

- d. <u>Delays.</u> The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein shall in no way operate as a waiver on the part of the Commission of any of its rights hereunder.
- e. <u>Records.</u> The Consultant shall maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records shall be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant shall retain all such records for a period of not less than five calendar years after the termination of this Agreement.
- f. <u>Time of Essence.</u> The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and performance of all other obligations of the Consultant under this Agreement and any other agreements entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.
- g. <u>Compliance with Laws.</u> In performing its engagement under this Agreement, the Consultant shall comply with all applicable federal, state and local laws, including but not limited to, those referenced in subparagraphs (a) and (b) above and in the documents referred to in paragraph 2 of this Agreement.
- h. <u>Progress Meetings.</u> Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.
- i. <u>Defects in Project.</u> The Consultant shall notify the Commission immediately in the event the Consultant obtains knowledge of a defect in the Project or circumstances which could result in a Project delay or cost overrun.

#### 5. Term.

- a. The term of this Agreement shall begin upon the final execution of this Agreement, and, subject to the provisions of subparagraph (b) below, shall expire upon completion of the Services and acceptance thereof by the Commission or, if the Services are of an engoing nature, on the completion date specified in such Request for Services. The Commission and the Consultant may, from time to time, by mutual agreement, extend the term of this Agreement by amending this Agreement.
- b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of

the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.

- c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.
- 6. <u>Compensation of Consultant; Reimbursement for Expenses.</u> The Commission shall compensate the Consultant for the Services in the manner set forth Schedule C of this Agreement. In addition, the Commission shall, upon submission of detailed invoices by the Consultant, no more frequently than once every 30 days, and approval by the Commission of those invoices, reimburse the Consultant for all Reimbursable Expenses. As used in this paragraph, the term "Reimbursable Expenses" shall mean those expenses identified as such in this Agreement.
- 7. Rights and Obligations of Commission. In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:
- a. <u>Information.</u> The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.
- b. <u>Review of Documents.</u> Subject to the provisions of subparagraph 4 (d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.
- c. <u>Site Data.</u> To the extent the Commission determines to be necessary for the Consultant to perform the Services, the Commission may furnish, or may authorize the Consultant to obtain from a company or companies approved by the Commission as Reimbursable Expenses: (i) a certified survey of the site or sites; (ii) information concerning locations, dimensions and data pertaining to existing buildings and other improvements; (iii) title information; (iv) information concerning available service and utility lines; and (v) results of test borings and other information concerning subsoil conditions.
  - d. <u>Tests and Reports.</u> To the extent required for the Consultant to perform the Services,

the Commission may furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports; however, the Commission may authorize the Consultant to procure such tests and reports from Sub-Consultants, which must be approved by the Commission. The costs of such Sub-Consultants shall be payable as Reimbursable Expenses.

- Legal, Auditing and other Services. The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Project. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.
- Designated Representatives. The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.
- Ownership of Documents. All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Project and/or the Services shall be the property of the Commission including copyrights.
- Audits. The Commission shall have the right to audit the books of the Consultant on all subjects relating to the Project and/or the Services.
- Indemnification of Commission. The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.
- Insurance to be Maintained by Consultant. The Consultant shall purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in Schedule D of this agreement

#### 10. Default,

- Events of Default. Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:
  - Failure or refusal on the part of the Consultant duly to observe or perform any obligation or agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been give to the Consultant by the Commission;
  - Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;
    - iii. Any representation or warranty of the Consultant set forth herein or otherwise

delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;

- iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or
- v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.
- b. Remedies. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.
- c. Remedies not Exclusive. No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.

#### 11. Disputes.

- a. <u>General.</u> All disputes arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including without limitation questions concerning permissibility of compensation, and all claims for alleged breach of contract, shall be presented in writing to the Executive Director for final determination.
- b. <u>Procedure.</u> Requests for determination of disputes will be made by the Consultant in writing specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the respective positions of the Consultant and the Project Manager; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identify any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. Consultant will promptly provide the Executive Director with a copy of the request for determination of the dispute. The Project Manager will have thirty (30) business days to respond in writing to the dispute by supplementing the submission or providing its own submission to the Executive Director. Failure by the Project Manager to respond will not be deemed to be an admission of any

allegations made in the request for dispute resolution, but will be deemed to constitute a waiver of the opportunity to respond to such allegation(s), if any. The Executive Director's decision may thereafter be reached in accordance with such other information or assistance as she or he may deem reasonable, necessary or desirable.

c. <u>Effect.</u> The Executive Director's final decision will be rendered in writing no more than forty-five (45) business days after receipt of the response by the Project Manager was filed or was due unless the Executive Director notifies the Consultant that additional time for the decision is necessary. The Executive Director's decision will be conclusive, final, and binding on all parties. Consultant must follow the procedures set out in this Section and receive the Executive Director's final decision as a condition precedent to filing a complaint in the Circuit Court of Cook County or any other court.

The Consultant will not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period. The Executive Director's written determination will be complied with pending final resolution of the dispute.

- 12. <u>Confidentiality.</u> All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant shall not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project or the Services.
- 13. <u>Assignment.</u> The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.
- 14. <u>Personnel.</u> The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant shall so notify the Commission in writing, and, upon the approval of the Executive Director, shall assign other qualified members of the Consultant's staff, to the Project.
- 15. <u>Relationship of Parties.</u> The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement shall not be construed as an agreement of partnership, joint venture, or agency.

#### 16. Miscellaneous.

- a. <u>Counterparts.</u> This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.
- b. <u>Entire Agreement.</u> This Agreement constitutes the entire understanding and agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement shall not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.

- c. <u>Force Majeure</u>. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform shall give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.
- d. <u>Governing Law.</u> This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.
- e. <u>No Waiver</u>. The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.
- f. Notices. All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.
- g. <u>Reimbursable Expenses.</u> Reimbursable expenses includes those actual expenditures, as identified in Schedule C to this Agreement, which are made by the Consultant and payable by the Commission.
- h. <u>Severability.</u> In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.
- i. <u>Successors and Assigns.</u> Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.
- j. <u>Consultant's Authority.</u> Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

SCHEDULES FOLLOW.

# SCHEDULE A

#### SCOPE OF SERVICES

# GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

#### SCOPE OF WORK

Project Name:

Gwendolyn Brooks College Preparatory High School

PBC Project No.:

05240

Project Address:

250 East 111th Street

See Attached Documentation

#### **Project Information**

The site boundaries, proposed facility, and proposed soil boring locations are shown in the attached site plan. The proposed new facility is to be one-story in height with a steel framed super structure and a masonry veneer exterior cavity wall with masonry back-up. The roof structure will be metal deck over steel framing. The maximum anticipated interior column load will be 200 kips and the maximum anticipated wall load will be 5 kips per lineal foot.

#### **Protection of Property**

The geotechnical engineer shall contact the Public Building Commission Project Manager for information regarding access to the site. A Right-of-Entry must be obtained in order to gain access to any property not yet controlled by the Public Building Commission. Absolutely no work shall begin without advance written notice to the property owner. The geotechnical engineer shall take reasonable precautions to prevent damage to property and shall restore the site to the condition existing prior to the geotechnical engineer's work.

# Sampling and Testing

#### **Benchmark**

Establish one permanent benchmark with a description and elevation to the nearest 0.01 feet and reference its elevation to the official City of Chicago datum.

#### **Borings**

Develop a boring location plan based on the site characteristics and minimum requirements of the attached proposed boring location plan. The location and depth of proposed borings shall be indicated on a sketch accompanying the geotechnical engineer's proposal. If the geotechnical engineer finds it necessary to change the location and depth of any of the proposed borings, the PBC Project Manager shall be notified and a new location or depth shall be agreed upon between the PBC Project Manager and the geotechnical engineer. Borings should be pushed until resistance is encountered. The geotechnical engineer shall advise the PBC Project Manager as to any further exploration and testing required to obtain information that the engineer may require for a professional interpretation of subsoil conditions at the building site. Retain a surveyor to stake out the boring locations and accurately establish, by engineer's level or transit,

the ground surface elevation of each boring.

#### Percolation test

Develop percolation test plan based on the site characteristics and minimum requirements of the attached proposed test location plan. The proposed test locations shall be indicated on a sketch accompanying the geotechnical engineer's proposal. The percolation tests should refer to guidelines for BMPs in City of Chicago Stormwater Management Ordinance Manual. Locate tests at proposed parking and landscaped areas. Indicate proposed percolation test procedures.

#### **Drilling and Sampling Methods**

Perform drilling and sampling in accordance with current applicable ASTM standards. Samples shall be preserved and field logs prepared by either a geotechnical engineer or an experienced soils technician under the supervision of a geotechnical engineer. The depth of the borings is to be determined by the geotechnical engineer based on the anticipated loads given above and the expected soil profile in the area.

# **Protection of Property**

The geotechnical engineer shall contact the PBC Project Manager and all utility companies for information regarding buried utilities and structures, shall take all reasonable precautions to prevent damage to property both visible and concealed, and shall restore the site to the conditions existing prior to the engineer's entry.

# Reports

#### Field and Laboratory Reports

Prepare reports in accordance with the following items:

- 1. Record all data in accordance with the latest ASTM standards.
- 2. Include with the report a chart illustrating the soil classification criteria and the terminology and symbols used on the boring logs.
- Identify the ASTM standards utilized. For percolation tests, describe test procedures.
- 4. Provide a site plan giving dimensioned locations of test borings and percolation tests.
- Provide vertical sections for each boring plotted and graphically presented showing number of borings, sampling method used, date of start and finish, surface elevations, description of soil and thickness of each layer, depth to loss or gain of drilling fluid, hydraulic pressure required or number of blows per foot, and elevation of ground water. Note the location of strata containing organic materials, wet materials or other inconsistencies that might affect engineering conclusions.
- 6. Describe the existing surface conditions and summarize the subsurface conditions.
- Report all laboratory determinations of soil properties.
- 8. Report results of percolation tests in MPI (minutes per inch).

#### **Disposition of Samples**

Retain samples at the geotechnical engineer's office until foundation installation is complete.

# Foundation Engineering Evaluation and Recommendations

#### **Evaluation and Recommendations**

The geotechnical engineer shall analyze the information developed by investigation and submit a professional evaluation and recommendations for the necessary areas of consideration, including, but not

#### limited to, the following:

- 1. Foundation support of the structure and slabs, including bearing pressures, bearing elevations, foundation design recommendations and anticipated settlement.
- 2. Anticipation of, and management of, groundwater for design of structures and pavements.
- Material and compaction requirements for site fill, construction backfill, and for the support of structures and pavements.
- 4. Subgrade modulus for design of pavements or slabs.
- 5. **Meetings:** Proposal shall include the geotechnical engineer's presence at two (2) project meetings as required. Duration of meeting **shall be** at least two (2) hours.

#### **Deliverables**

- The geotechnical investigation and engineering shall be completed and the report delivered to the Public Building Commission Project Manager within thirty (30) calendar days after written authorization to proceed.
- The Public Building Commission of Chicago may reproduce the report without modifications and distribute the report in connection with the use or disposition of the property without incurring obligation for additional compensation to the geotechnical engineer
- Provide three hard copies and one electronic PDF file on CD to the PBC Project Manager.

#### Qualifications/Personnel

 All work shall be performed by qualified personnel under the supervision of an Illinois licensed or registered engineer and the document submitted shall bear the engineer's seal and certification.

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#### SCHEDULE B **PROJECT DOCUMENTS**

# GEOTECHNICAL INVESTIGATION AND REPORTS **GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441** PROJECT NUMBER: 05240

**NO ATTACHMENTS** 

# SCHEDULE C COMPENSATION OF THE CONSULTANT

# GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

## C.1 CONSULTANT'S FEE

- C.1.1 The Commission shall pay the Consultant for the satisfactory performance of the Services a Fixed Fee ("Fee") of (\$11,865.00) for all work included in Schedule A. The Fee will, in the absence of a change in scope of the Project by the Commission or the issuance of Commission-originated additive change orders constitutes the Consultant's full fee for Basic Services.
- C.1.2. Consultant's Fee will include profit, overhead, general conditions, materials, equipment, computers, vehicles, office labor, field labor, insurance, deliverables, and any other costs incurred in preparation and submittal of deliverables.
- C.2 HOURLY RATES FOR CONSULTANT AND SUBCONSULTANT PERSONNEL
- C.2.1 Hourly Rates for Consultant and Subconsultant Personnel. All Consultant and Subconsultant personnel and the hourly rate billable for each are subject to the prior approval of the Commission.
- C.2.2 Hourly Rates for Consultant and Subconsultant personnel are provided in Consultant's proposal, which follows Schedule C. The hourly rates provided by the Consultant will be used to determine the costs for any work related to the Project yet not included in Schedule A.

#### C.3 METHOD OF PAYMENT

C.3.1 Invoices. The Consultant will submit an invoice to the Commission for Services performed, that will be paid in one lump sum after all Services required by this Agreement have been completed to the reasonable satisfaction of the Commission.

Each invoice must be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of this Agreement, the Consultant must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain the reasons for such variances.

- C.3.2 Payment. Payment will be processed within 30 days after Commission receives an acceptable invoice from the Consultant.
- C.3.3 Invoice Disputes. If the Commission disputes certain items in the Consultant's invoices, the amount not disputed will be paid in full. The amount in question must be resolved in accordance with the Disputes provisions of this Agreement

# PUBLIC BUILDING COMMISSION OF CHICAGO

ATTACHMENT B
SCHEDULE OF COST
GEOTECHNICAL INVESTIGATION & REPORT
GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS1441

Complete the following table for scope of work costs. Please include and add all materials, equipment, computers, vehicles, office labor, field

labor, insurance, deliverables, and any other costs incurred			THE OF BOILEDIE	}	
Task Item	Quantity	Unit	Unit Cost	Subtotal	Total
Task I - Geotechnical Investigation	PERMIT	44.00		h diameter	i mai
Project Management: (Including 2 Meetings)		L/S		2,100.0	Oatseam
Mobilization/Demobilization		L/S		425,00	Daniel Park Line
Contact DIGGER and Mark Underground Utilities		L/S		NIC	
Orill Rig/Operator		Per Day		NIC	
Orilling per ASTM D 1586: Unit Price per foot	230	Per Foot	12.00	2,760.00	
Judisturbed Sampling per ASTM D 1587: Per sample	20	72. 1	7 = 0	/2 A A	
Percolations Tests: Unit Price Per Test	120	Each Each	850,00	120.00	
Subtotal 1 - Above Items:		Dadi	THE PROPERTY OF THE COLOR	さくしゅうえいんしん ノット・メート・メート・	**************************************
Geotechnical Analysis:	5.03.6346.2	PAR A		6,255,00	
Grain Size - ASTM D 422	2	Fool	180.00		
Grain Size - ASTM D 422	2	Each	180.00		
Atterberg Limits – ASTM D 4318	333	Each	145,00	435.00	
Soil Classification - ASTM D 2487 & D 2488	68	Each Each			
Unconfined Compressive Strength – ASTM D 2166		Each	NIC	720.00	
Consolidation Testing – ASTM D 2435	20	Each	36,00	840,00	
California Bearing Ratio - ASTM D 1883	<del></del>	Each			
		Lacu	AND DESCRIPTION OF THE PROPERTY.	720,00	
Subtotal 2 - Geotechnical Analysis:	Service Service		and the same	3,255,00	
xpenses .					
Equipment Rental (Itemize below as applicable);		ALC: NO.			
1. SMALL BACKhoe (+st pt)		DER DAY	475.00	475,00	
2.			]		
3.	1000	1575-3500-100-5-5-	]	NANNAN NO	
Subtotal 3 – Expenses:		250000		~415,00°	
upplies (Itemize below as applicable):					
1.		•			
2.					
3.	Same and the same	Ville Market Control	Salamana de de la companio	ور بورس فردای این ایر درس ایر ایران ای	
Subtotal 4 - Supplies:			STATE OF THE PARTY.		<b>"我说话来是</b> "
ny Other Relevant Costs (Itemize below as applicable):					
1. Moisture Content Test	68	EACH	10.00	680.00	2.140
2. DRY Soil Density Test	10	EACH	25,00	250,00	
3.	15 9 3 N 3 5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
Subtotal 5 – Other Relevant Costs:				^9 <i>30,</i> 00	
Total of Task I (Subtotals 1 through 5):			THE PARTY		10, 915.0
ask II - Deliverables (Fixed Fee)		177513			
raft and Final Geotechnical Reports: (Assume one Draft	ACCORDING TO A STATE OF THE STA	L/S		The state of the s	
eport and one Final Report.)	/	<b>-</b>	950.00		
Total Task II:			Me Street	75 - November 1981	950,0
170		*1/40% P3			
otal Project Cost (Tasks I & II):			220		11,865.
PI-	4 3	- to a constraint		A STANDARD CONTRACTOR	
im Name Environmental Pro-	tection	s to provide t	he Geotechnica	l services as delailed	in Attachment A -
pecifications to the amount indicated above.	•			, ,	
				11/1-/-	^

(Printed Name and Title) DIRECTOR OF OPERATIONS

Geotechnical Investigation & Reports Gwendolyn Brooks College Preparatory High School – PS1441

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VAGwendolyn Brooks College Prep HS FY2007\Geotechnical Investigation - PS1441\Price Request - Geotechnical Investigation PS1441.doc

# SCHEDULE D INSURANCE REQUIREMENTS GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

The Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

# D.1. INSURANCE TO BE PROVIDED

# D.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, Illness or disease.

# D.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Public Building Commission, Board of Education of the City of Chicago, and City of Chicago must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than  $\frac{$1,000,000}{}$  per occurrence with the same terms herein

# D.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission, Board of Education of the City of Chicago and the City of Chicago must be named as additional insureds on a primary, non-contributory basis.

# D.1.4. Professional Liability

When any professional Consultant performs work in connection with the Agreement, Professional Liability Insurance will be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

#### D.1.5 Property

The Consultant is responsible for all loss or damage to Commission, Board and/or City of Chicago property at full replacement or repair cost. The Consultant is responsible for all loss or damage to personal property

(including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

#### D.1.6 Valuable Papers

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

# D.1.7 Contractors Pollution Liability

When any work is performed which may cause a pollution exposure, Contractors Pollution Liability must be provided covering bodily injury, property damage and other losses caused by pollution conditions that arise from the Contract scope of services with limits of not less than \$1,000,000 per occurrence. Coverage must include completed operations, contractual liability, defense, excavation, environmental cleanup, remediation and disposal. When policies are renewed or replaced, the policy retroactive date must coincide with or precede, start of work on the Contract. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years. The Public Building Commission, Board of Education of the City of Chicago and the City of Chicago are to be named as additional insureds on a primary, non-contributory basis.

#### D.1.8 Railroad Protective Liability

When any work is to be done adjacent to or on railroad or transit property, Contractor must provide, with respect to the operations that Contractor or subcontractors perform, Railroad Protective Liability Insurance in the name of railroad or transit entity. The policy must have limits of not less than the requirement of the operating railroad/transit entity for losses arising out of injuries to or death of all persons, and for damage to or destruction of property, including the loss of use thereof.

#### D.2. ADDITIONAL REQUIREMENTS

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the Commission of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if any policies are canceled, substantially changes, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, Board of Education of the City of Chicago and City of Chicago, their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission, Board of Education of the City of Chicago and the City of Chicago do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to modify, delete, after or change these requirements.

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# SCHEDULE E KEY PERSONNEL

## **GEOTECHNICAL INVESTIGATION AND REPORTS** GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

NAME	FIRM	TITLE
Sergio Meilman	Environmental Protection	Ind. Director of Operations
Robert Mankowski	Environmental Protecti	on 2nd Vice Presiden
Austin List	Environmental Protection	n Ind Geologist
		3
,		

#### **EXHIBIT A**

# **GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240**

(COMMISSION'S DISCLOSURE OF RETAINED PARTY FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

					ATTACHMENT F										
П				DISCLOSE	JRE OF RETAINED PART	IES									
L		A.	Definitions and	Definitions and Disclosure Requirements											
		1.		s used herein, "Consultant" means a person or entity who has any contract or lease with the Public Building commission of Chicago ("Commission").											
	,	2.	statement provi- with respect to this or her busine The Consultant payroll.	Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake											
		<b>.</b>	to influence any	obbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake influence any legislative or administrative action, or (b) any part of whose duties as an employee of another action and the condensation of the condensation											
П		В.	Certification												
Ц				by certifies as follows:											
		1.	This Disclosure	his Disclosure relates to the following transaction: Geoteouvical Investigation											
			This Disclosure relates to the following transaction: <u>Geoteohnical Investication</u> Description or goods or services to be provided under Contract: <u>Gwenoolyn Brooks</u> <u>College Preparatory Hich School</u>												
		2.	Name of Consu	Itant:EP		·	<del></del> ,								
		3.			anticipated to be retained sted below. Attach addition		h respect to or in								
_		Retain	ed Parties:												
			Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate whether paid or estimated)									
	•		N/A				<u> </u>								
سنا		<u> </u>		<u> </u>											
		•	Here If No Such		ined or Are Anticipated To	Be Retained:	_								
		Gwendoly	n Brooks College Prepara	atory High School - PS1441	. 030 00 01 00										

Project No.: 05240
Vt.Gwendolyn Brooks College Prep HS FY2007/Geotechnical Investigation - PS1441/Price Request - Geotechnical Investigation PS1441.doc

	<b>4.</b> Th	ne Consultant understands and agrees as follows:
	a.	The information provided herein is a material inducement to the Commission execution of the contract other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determine that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
	b.	If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
	c.	This Disclosure of Retained Parties form, some or all of the information provided herein, and ar attachments may be made available to the public on the internet, in response to a Freedom Information Act request, or otherwise. The Consultant waives and releases any possible rights or claim it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.
	Under pena the Consul	alty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf Itant and that the information disclosed herein is true and complete.
		11/10/08
	Signature	Date
0	Serz Name (Typ	sio Meilur Director of Operation Title
·		
	Subscribed	and sworn to before me
	this 1	2 day of Nov 20 08  ON DA OFFICIAL SEAL
	Notary Publ	ANNE J. KILDAY

# EXHIBIT B DISCLOSURE AFFIDAVIT

Name:	Environmen	tal Pr	otection	Indus	stries	Inc
Address:	<u> </u>					d IL 6047
Telephone No.:	708	- a 25-	1115			
Federal Employ	/er I.D. #.; <u>36-3</u> 9	711542 Socia	al Security #: _			
Nature of Trans	eaction:					
[] Sale or purci [] Construction ☑ Professional [] Other	hase of land Contract Services Agreement					
above transaction Please note that partners must si	FOR USE WITH ANY O ons with the Public Buildir t in the event the Contrac ubmit a completed Disclo	ng Commission tor is a joint n sure Affidavit	on of Chicago venture, the joi :	must complete int venture and	this Disclos	ure Affidavit
The undersigne	d Michael Mus	as (	Preside	nt		
and on behalf of	(Name) f <u>Environ</u> ser" or "Contractor") havin	mental	Prote	etion I	indust	ries, Inc
(. DISCLOSUI	RE OF OWNERSHIP INT	ERESTS				
Chicago, all bido	solution No. 5371 of the ders/proposers shall provi , answer "NA". If the ans	de the follow	ing informatior	n with their bid/	Building Co proposal. If	mmission of the question
Bidder/Proposer	[ ] Joint	ership Venture	į į	LLC LLP Profit Corporat	ion	

SE	CTION 1. FOR PROFIT	CORPORATION OR LIMI	TED LIABILITY COMPANY (I	LLC)
a.	State of Incorporation	or organization	Illinois	
b.	Authorized to do busin	ess in the State of Illinois:	Yes [v] No [ ]	
Ç.		of corporation or LLC ach list):	Names of all direct	ors of corporation or LLC attach list):
	me (Print or Type) <u>Occhael Mo</u> sa	Title (Print or Type)  President	Name (Print or Type)	Title (Print or Type)
đ.	Indicate here or attach seven and one-half per interest of each.	a list of names and addres cent (7.5%) of the proportion	ses of all shareholders ownir onate ownership of the corpor	ng shares equal to or in excess of ation and indicate the percentage
	Name (Print or Type Michael Mu		Address Royal Glen	Ownership Interest%
	Earli Clarater what			%
G.		er member-managed or idel	ntity managing member:	
f.	Is the corporation or LL	C owned partially or comple 'es [ ] No [/]	etely by one or more other col	rporations or legal entities?
exar Corp	nple, if Corporation B poration B must comple	interest of 7.5% or more owns 15% of Corporation to a Disclosure Affidavit.	in the corporation contracting A. and Corporation A. is	n or entity such that any person g in the PBC is disclosed. For contracting with the PBC, then Corporations C and D, each of Disclosure Affidavits.

#### **SECTION 2. PARTNERSHIPS**

a.	If the bidder/proposer or Contractor is a partnership, indicate the name of each painterest of each therein. Also indicate, if applicable, whether general partner (GP) or	urtner and the percentage of limited partner (LP)
	Name of Partners (Print or Type)	Percentage Interest
		%
		%
		%
e=	CTION 2. SOLE DECERETORS UP	
	CTION 3. SOLE PROPRIETORSHIP	
a.	The bidder/proposer or Contractor is a sole proprietorship and is not acting in any behalf of any beneficiary: Yes [] No [] If NO, complete items b. and c. of this Section 3.	representative capacity or
b.	If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the princip nominee holds such interest.	al(s) for whom the agent o
	Name(s) of Principal(s). (Print or Type)	
	NIX	
	V	
3.	If the interest of a spouse or any other party is constructively controlled by another the name and address of such person or entity possessing such control and the relacontrol is being or may exercised.	person or legal entity, state ationship under which such
	Name(s) Address(es)	
	N	· 
		·

# SECTION 4. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES

if the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

Geotechnical Investigation & Reports Gwendolyn Brooks College Preparatory High School - PS1441

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Name	(s)	Address	s(es)
		MA	
a. State of incorporation	PROFIT CORPORATIONS  nand directors of corporation (		
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

## II. CONTRACTOR CERTIFICATION

#### A. CONTRACTOR

- The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:
  - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or

- b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.3
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating<sup>4</sup> in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
  - a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
  - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
  - Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
  - d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

#### B. SUBCONTRACTORS

1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-

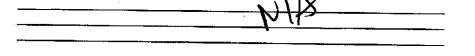
rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.

- 2. The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bidrigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.
- For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall
  maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and
  (2) above, and Contractor shall make such certifications promptly available to the Public Building
  Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.
- 5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

#### C. STATE TAX DELINQUENCIES

- 1. The Contractor is not delinquent in the payment of any tax administered by the !!linois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

3.	If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor shall explain below. Attach additional pages if necessary.



If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

#### D. OTHER TAXES/FEES

1.	The Contractor Chicago.	is no	t delinquent	in	paying	any	fine,	fee,	tax	or	other	charge	owed	to	the	City	of
_																	

2. If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

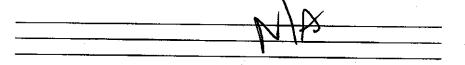
If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

#### E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

#### F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

- 1. The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.
- 2. If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.



If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

# III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction<sup>5</sup>, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor	cannot	make	the	certification	contained	in	Paragraph	A	of	Section	III,	identify	any
exceptions:					N							·	·
					1								

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

- B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.
- C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

# IV. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

#### V. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602.

1/1/11/11/11
Afgrature of Authorized Officer
Michael Musa
Name of Authorized Officer (Print or Type)
Title
708-225-1115
Telephone Number

> OFFICIAL SEAL ANNE J. KILDAY NOTARY PUBLIC-STATE OF ILLINOIS MY COMMISSION EXPIRES 7/15/10

Notary Public Signature and Seel

- Business entities are affiliated if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.
- 2. For purposes of Section II (A) (2) of this certification, a person commits the offense of and engages in bidrigging when he knowingly agrees with any person who is, or but for such agreement should be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of state or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) provides such person or receives from another information concerning the price or other material term or terms of the bid which would otherwise not be disclosed to a competitor in an independent non-collusive submission of bids or (2) submits a bid that is of such a price or other material term or terms that he does not intend the bid to be accepted. see 720 ILCS 5/33-E-3.
- 3. No corporation shall be barred from contracting with any unit of state or local government as a result of a conviction, under either Section 33E-3 or Section 33E-4 of Article 33 of the State of Illinois Criminal Code of 1961, as amended, of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent on behalf of the corporation as provided in paragraph (2) of subsection (a) of Section 5-4 of the State of Illinois Criminal Code.
- 4. For purposes of Section II(A) of this certification, a person commits the offense of and engages in bid rotating when, pursuant to any collusive scheme or agreement with another, he engages in a pattern over time (which, for the purposes hereof, shall include at least three contract bids within a period of ten years, the most recent of which occurs after January 1, 1989) of submitting sealed bids to units of state or local government with the intent that the award of such bids rotates, or is distributed among, persons or business entities which submit bids on a substantial number of the same contracts. See 720 ILCS 5/33E-4.
- "Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation, or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants including but not limited to (1) Section 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapter 7-28 or 11-4 of the Municipal Code of Chicago; (2) Comprehensive Environment Response and Compensation and Liability Act (42 U.S.C. § 9601 et seq.); the Hazardous Material Transportation Act (49 U.S.C. § 1801 et seq.); (4) the Resource Conversation and Recovery Act of 1976 (42 U.S.C. § 7401 et seq.); (5) the Clean Water Act (33 U.S.C. § 1251 et seq.); (6) the Clean Air Act (42 U.S.C. § 7401 et seq.); (7) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 et seq.); (8) the Safe Drinking Water Act (42 U.S.C. § 300f); (9) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 et seq.); (10) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 et seq.); and (10) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

#### **EXHIBIT C**

# GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

(COMMISSION'S SCHEDULE C AND D FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

### SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)

	SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL	
Name o	of Project: GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH 38H	001
Project	th Number: 05240	
FROM:	<b>t</b> :	
K & (Name	& S Engineers, Inc. MBE X WBE	
, TO:		
(Name	EPI and Public Building Commission of Chicago of Professional Service Provider)	
The unc	ndersigned Intends to perform work in connection with the above-referenced project as (check one):	
	a Sole Proprietor X a Corporation	
	a Partnershipa Joint Venture	
·	ABE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated in addition, in the case where the undersigned is a Joint Venture with a non-	
MBE/WI	VBE firm, a Schedule B, Joint Venture Affidavit, is provided.	
The und	dersigned is prepared to provide the following described services or supply the following described goods in tion with the above-named project.	
The abo	ove-described services or goods are offered for the following price, with terms of payment as stipulated in the	
Contract	A LACOUITRAND.	

Sabelectrolosi Investigation & Reports
Gwentchitt Brooks College Preparatory High School --PS1441
Project No.: 08240
V:Greendchitt Brooks College Prep HS PY2007(Geobachtical hybeligation --PS1441(Price Request - Geolectrolosi kwastigation PS1441.doc

# SCHEDULE C - Letter of Intent from MBE/WBE To Perform As Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)

PARTIAL PAY ITEMS	
For any of the above items that are partial po	ay Items, specifically describe the work and subcontract dollar amount:
If more space is needed to fully describe the attach additional sheet(s).	MBE/WBE firm's proposed scope of work and/or payment schedule,
SUB-SUBCONTRACTING LEVELS	
% of the dollar value of the MBE/WB	E subcontract will be subjet to non-MBE/WBE contractors.
	E subcontract will be sublet to MBE/WBE contractors.
be filled in each blank above. If more than 10	bcontracting any of the work described in this Schedule, $\alpha$ zero (0) must 0% percent of the value of the MBE/WBE subcontractor's scope of work don of the work to be sublet must be provided.
execution of a contract with the Public Buildin receipt of a notice of Contract award from the By:	ement for the above work with the General Bidder, conditioned upon its ng Commission of Chicago, and will do so within five (5) working days of Commission.
K & S Engineers, Inc. Name of MBEWBE Firm (Print)	Cianolina
November 12, 2008	Signature Dibakar Sundi
Date 219-924-5231 Phone	Name (Print)
IF APPLICABLE: By:	
Joint Venture Partner (Print)	Signature
Date	Name (Print)
Phone	MBE WBE Non-M8E/WBE
Geninochrical Investigation & Happeila Chemodolyn Brooks College Propustory High School PS1441 Project No.: USZAI VARIANTE Brooks College Burn US EVENTAD autochridat Investigation	Paga 18 of 36 gallon - PS1441 Price Flaguant - Garnecholcal Investigation PS1441.doc

### THE BOARD OF COMMISSIONERS TODD H. STROGER

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LUCOIDEIAI				
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COANDOLAM OTRIBOR	địn Dist.	ANTHONY L PÉRAICA	1916 DE	
		CI IZABETU ASIM DOGOV OGGUAN	474 FG	



March 17, 2008

### COUR COUNTY OFFICE OF CONTRACT COMPLIANCE

#### BETTY HANCOCK PERRY DIRECTOR

118 North Clark Street, Room 1020 Chicago, Illinois 60602-1304 B-MAIL: bhperry@cookeourtygov.com TEL (312) 603-5502 FAX (312) 603-4547

Mr. Dibakar Sundi, P.E., President K & S Engineers, Inc. 9715 Kennedy Avenue Highland, IN 46322

Annual Certification Expires:

March 17, 2009

Dear Mr. Sundi:

Congratulations on your continued eligibility for Certification as an MBE (8) by Cook County Government. This MBE (8) Certification is valid until March 17, 2011; however your firm must be revalidated annually. Your firm's next annual validation is required by March 17, 2009.

As a condition of continued Certification during this three (3) year period, you must file a "No Change Affidavit" within sixty (60) days prior to the date of annual expiration. Failure to file this Affidavit shall result in the termination of your Certification. You must notify Cook County Government's Office of Contract Compliance, of any change in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for Certification.

Cook County Government may commence action to remove your firm as an MBE (8) vendor if you fail to notify us of any changes of facts affecting your firm's Certification, or if your firm otherwise fails to cooperate with the County in any inquiry or investigation. Removal of your status may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in Cook County's Directory of Minority Business Enterprises and Women Business Enterprises in the area(s) of specialty:

Geotechnical Engineering; Drilling Soil Borings and Rock Coring; Drilling Caissons; Testing Concrete and Asphalt; Environmental Consulting and Forensic Investigation

Your firm's participation on Cook County contracts will be credited toward MBE (8) goals in your area(s) of specialty. While your participation on Cook County contracts is not limited to your specialty, credit toward MBE (8) goals will be given only for work done in the specialty category.

Thank you for your continued interest in Cook County Government's Minority and Women Business Enterprise Programs.

Better Ham

Betty Hancock Perry

Director : BHP/es

Enclosed: No Change Affidavit

**CMS** 

Rod R. Blagojevich, Governor
DEPARTMENT OF CENTRAL MANAGEMENT SERVICES
Maureen T. O'Donnell, Acting Director

June 04, 2008

Dibakar Sundi, Pe K & S Engineers Inc 19w114 North Avenue Lombard, IL 60148-1329

Re: MBE Certification Approval

Dear Business Owner.

Congratulations! After reviewing the information that you supplied, we are pleased to inform you that your firm has been granted certification as a Minority Business Enterprise (MBE) under the Business Enterprise Program for Minorities, Females, and Persons with Disabilities.

This full certification is valid for a period of three years from the date of this letter. Following this full certification, on an annual basis, at least 60 days prior to the anniversary day of your certification, you will be required to complete a No-change Affidavit form that must be submitted to BEP as a condition of continued certification. In addition, should any changes occur in ownership and/or control of the business, or other changes affecting the firm's operations, you are required to notify this office within two weeks. Failure to return the annual No-change Affidavit or notify our office of any such changes will result in decertification of your firm.

Please keep in mind that, while this certification does not guarantee you will receive a State contract, it does assure your firm the opportunity to participate in the State's procurement process. Currently, your firm's name appears in the State's Directory as a certified vendor with BEP. As you may know, State of Illinois Agencies and State Universities have a spending goal established with BEP-certified companies.

Please visit our website at www.sell2.illinois.gov to obtain information about current and upcoming procurement opportunities, contracts, forms, and also to register to receive email alerts when the State is preparing to purchase a product or service that you may provide.

Thank you for your participation in BEP. The State of Illinois values its relationship with small and diverse businesses and looks forward to doing business with your company. For further information or if you have any questions, please call (312) 814-4190, Toll-free (800) 356-9206, Hearing Impaired (800) 526-0844.

Sincerely,

Mayra Garcia Guzman

Deputy Director
Business Enterprise Program

(LI3MBE)

160 N LaSalle St. Ste. C504, Chicago IL 60601-3103

Printed on Regulated Paper

### Chicago Minority Business Development Council, Inc.

"Building Strategic Alliances"



June 06, 2008

Mr. Dibakar Sundi President K & S ENGINEERS, INC. 9715 Kennedy Ave. Highland IN 46322

Dear Mr. Sundi:

We are pleased to inform you that your firm meets the eligibility criteria and has been certified as a member of the Chicago Minority Business Development Council, Inc.

Membership is granted annually and means that your term is effective through June 30, 2009. It is the obligation of your firm to apply for recertification before your certification expiration date. In the Interim, it is your responsibility to notify CMBDC of any change in the status or operation of your company that might result in disqualification. Your firm's commodity/service will be listed in CMBDC's online GREATER CHICAGO MINORITY BUSINESS DIRECTORY as follows:

GEOTECHNICAL ENGINEERING AND CONSULTING, DRILLING SOIL BORINGS, SOIL SAMPLING AND ROCK CORING, INSTALLATION OF MONITORING WELLS, DRILLING CAISSONS, CORING CONCRETE AND ASPHALT, LABORATORY AND FIELD TESTING OF CONCRETE, SOIL, ASPHALT AND STEEL, ENVIRONMENTAL ENGINEERING AND CONSULTING, FORENSIC INVESTIGATION OF CONSTRUCTION MATERIALS

Your company's participation in other areas requires certification.

We would like to take this opportunity to thank you for your cooperation in our certification procedure which, we feel, will strengthen the Council's programs to assist your firm in its efforts to market to major corporations in Chicago and nationally.

One East Wacker Drive

Sincer

Shondra Watson

Certification Coordinator

Sulle 1200

Chicago, IL 60601

PH 312/755-8880

FX 312/755-8890



### Metropolitan Water Reclamation District of Greater Chicago

100 EAST ERIE STREET

CHICAGO, ILLINOIS 60611-3154

312-751-5600

4 312./51.56

May 30, 2008

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Oynthia M. Santos
Dabra Shore
Patricia Young

Mr. Dibakar Sundi K & S Engineers, Inc. 9715 Kennedy Ave. Highland, IN 46322

Vendor Number: 5009226

### Dear Mr. Dibakar Sundi:

We are in receipt of your Chicago Transit Authority Certification material as a Protected Class Enterprise ("PCE").

The result of our review concludes that K & S Engineers, Inc. certified as a MBE eligible to fulfill MBE requirements on Metropolitan Water Reclamation District of Greater Chicago ("District") contracts. Your firm is certified as of May 30, 2008

It is your firm's obligation to apply for re-certification no later than JUNE 01, 2010. Re-certification may be revoked if it is determined that your firm is involved in bidding irregularities, contract fraud or misrepresentation of your firm's PCE status. An additional in-depth review will be made if your company is listed as Protected Class Enterprise on a bid document to fulfill Affirmative Action goals at the District.

Your firm will be listed in the online edition of the District's Protected Class Enterprise Directory (MWRD.Org -"Search Vendor List"). As with the Chicago Transit Authority Certification Program, your specialty area will be shown as:

### Geotechnical & Environmental Engineering Soil Boring, Soil, Concrete and Asphalt Testing

As a certified PCE, it is your obligation to promptly notify this office in writing of any changes or circumstances that affect your ability to meet ownership, size requirements and/or control of your firm. The notice must take the form of a notarized affidavit sworn to by the owner and provided within 30 days of the change. The District reserves the right to commence actions to revoke your firm's certification if this notification is not made.

Illinois law requires that all corporations secure a Certification of Authority from the Illinois Secretary of State prior to doing business in the State.

If you have any questions regarding PCE certification or District business opportunities, please contact Amy Crowe Program Administrator at 312-751-4035.

Sincerely,

Amy E. Crowe

Program Administrator

Affirmative Action

**AECss** 

## SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation (1 of 2)

Name of Project: GWENDOLYN BrOOKS College PrepARATORY H
STATE OF ILLINOIS }
SS COUNTY OF COOK }
In connection with the above-captioned contract, I HEREBY DECLARE AND AFFIRM that I am the
Title and duly authorized representative of EPZ
Name of Professional Service Provider whose address is 16650 S. CANAL SHREET
whose address is 16650 S. CANAL SHREET  in the City of Sourh Holland State of Illinois  and that I have personally reviewed the material and facts submitted with the attached Schedules of MBE/WBE participation in the above-referenced Contract, including Schedule C and Schedule B (if applicable), and the following is a statement of the extent to which MBE/WBE firms will participate in this Contract if awarded to this firm as the Contractor for the Project.

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals		
	110001000100000000000000000000000000000	MBE	WBE	
K&S Engineers	GEOTECH. Analys.	\$3,488	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$ .	\$	
		\$	\$	
	Total Net MBE/WBE Credit	\$3,788	\$	
	Percent of Total Base Bid	1	- %	

The Professional Service Provider may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

Geotechnical Investigation & Reports
Gwendolyn Brooks College Preparatory High School – PS1441

Page 19 of 36

Project No.: 05240

V:\Gwendolyn Brooks College Prep HS FY2007\Geotechnical Investigation - PS1441\Price Request - Geotechnical Investigation PS1441.doc

### SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation (2 of 2)SUB-SUBCONTRACTING LEVELS % of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors. % of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors. If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided. The undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Professional Service Provider of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission. Ву: **Signature** IF APPLICABLE: By: Joint Venture Partner (Print) Signature Date Name (Print) MBE \_\_\_\_ WBE \_\_\_ Non-MBE/WBE Phone/FAX

### **EXHIBIT D**

### W-9 FORM

# GEOTECHNICAL INVESTIGATION AND REPORTS GWENDOLYN BROOKS COLLEGE PREPARATORY HIGH SCHOOL - PS 1441 PROJECT NUMBER: 05240

(COMMISSION'S W-9 FORM EXECUTED BY CONSULTANT FOLLOWS THIS PAGE.)

### Form W-9 (Rev. October 2007) Department of the Treasur

## Request for Taxpayer identification Number and Certification

Give form to the requester. Do not send to the IRS.

STATE OF PERSONS ASSESSMENT		cours to mis 11/2'
page 2.	Name (as shown on your income tax return)  Environmental Protection Industries, Inc  Business name, if different from above	
8 8	Cueshose name, if different from above	
Print or type Specific Instructions	Check appropriate box: Individual/Sole proprietor Corporation Partnership  Limited liability company. Enter the tax classification (Dadisregarded entity, Cacorporation, Papartnership)	Exempt payee
Prin	Address (number, street, and apt. or suite no.)  Requester's name and action of the control of t	idress (optional)
	South Hollond IL 60473	
<b>8</b>	List account number(s) here (optional)	
Part	Taxpayer Identification Number (TIN)	
alien, s	sour TIN in the appropriate box. The TIN provided must match the name given on Line 1 to avoid by withholding. For individuals, this is your social security number (SSN). However, for a resident toole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is	ity number
Note. I	mployer identification number (EiN). If you do not have a number, see How to get a TIN on page 3.  If the account is in more than one name, see the chart on page 4 for guidelines on whose	70
· Idii Iba	36 36 36 36 36 36 36 36 36 36 36 36 36 3	ntification number
Part	Certification	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	penalties of perjury, I certify that:	
Rev	a number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be iss n not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been me venue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividen ified me that I am no longer subject to backup withholding, and	
3. lan	n a U.S. citizen or other U.S. person (defined below).	,
Certific withhok For more	cation instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently ding because you have failed to report all interest and dividends on your tax return. For real estate transactions, rigage interest paid, acquisition or abandonment of secured property, capacitation of debt, contributions to an interest and dividends, you are not required to sign the Certificat your correct TIN. See the instruction on page 4.	tem 2 does not apply.
Sign Here	Signature of U.S. person >	

General Instrum

Section references are to the Internal Revenue Code unless otherwise noted.

### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

The U.S. owner of a disregarded entity and not the entity,

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			S. Michael Rummel/MNM SAIN					

Chicago Public Building Commission

Certificate issued to Chicago Public Building Commission Rummel Associates, Inc.

12/09/2008

12/09/2008

Project: Gwendolyn Brooks Prep Academy, 250 E. 111th Street, Chicago, IL. Additional insureds: Chicago Public Building Commission, 50 W. Washington, Chicago, IL 60602, Board of Education, 125 S. Clark, Chicago, IL 60603, City of Chicago, 121 N. LaSalle, Chicago, IL 60602. General Liability is on a primary and non-contributory basis. A Waiver of Subrogation applies in favor of the additional insureds for General Liabillity coverage. Additional insureds are added to Auto coverage. Auto coverage is on primary and non-contributory basis.

### **IMPORTANT**

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in tieu of such endorsement(s).

if SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

### **DISCLAIMER**

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.